# Neuro-Guided Genetic Programming: Prioritizing Evolutionary Search with Neural Networks

#### Paweł Liskowski, Iwo Błądek, Krzysztof Krawiec

Institute of Computing Science, Poznań University of Technology

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# Introduction

- Search problem
- **Training neural network**
- Neuro-Guided Genetic Programming

## **6** Experiments

In this presentation, we will describe work originally presented at GECCO 2018 conference in Kyoto, 15-19.07.2018.



This work is also described in the peer-reviewed publication:

[2] P.Liskowski, I.Błądek, K.Krawiec, *Neuro-Guided Genetic Programming: Prioritizing Evolutionary Search with Neural Networks*, GECCO'18 Proceedings of the Genetic and Evolutionary Computation Conference, ACM, 2018, pp. 1143-1150.

## Program synthesis

**Automatic program synthesis:** a general class of problems where the goal is to find a program (executable procedure) that satisfies a given specification.

### Specification

#### Target program

$\begin{array}{l} \mathbf{a} \leftarrow \mathrm{int} \\ \mathbf{b} \leftarrow [\mathrm{int}] \\ \mathbf{c} \leftarrow \mathrm{Sort} \ \mathbf{b} \\ \mathbf{d} \leftarrow \mathrm{Take} \ \mathbf{a} \ \mathbf{c} \end{array}$
$b \leftarrow [int]$
$c \leftarrow Sort b$
$d \leftarrow Take a c$
$e \leftarrow Sum  d$

Aspects: type of specification, programming language.

## Genetic programming

- Population of candidate programs.
- In each generation programs are being selected based on their *fitness* and *search operators* modify those programs, which then constitute a new population.

### Example of search operators:

Mutation:

Crossover:

### Motivation

#### Problem we wanted to solve:

- Search operators work under assumption that every instruction has the same chance to lead to a correct candidate program (uniform distribution of instructions given the problem instance).
- In practice, this in vast majority of cases does not hold.

### Our contribution:

- Search operators (mutation, population initialization) taking into account the **conditional probability of instructions** given input-output examples from the specification.
- Conditional probability of instructions is obtained by **training a neural network** on input-output examples.

#### "All" problem instances

**Train artificial neural network** (NN) to estimate conditional probability of program instructions given the I/O examples.

#### "All" problem instances

**Train artificial neural network** (NN) to estimate conditional probability of program instructions given the I/O examples.

#### Particular problem instance

- Query the neural network on the I/O examples to obtain probability estimates.
- **2** Parametrize search operators (mutation, population initialization) of GP with the obtained estimates.

#### 3 <u>Run GP</u>.

Artificial neural network is used, but should the whole proposed solution be treated as a classical machine learning scenario?

Tentative answer: No. Machine learning subcomponent is used to guide search, but in the end this is a search problem.

# Introduction

# Search problem

## **3** Training neural network

# Neuro-Guided Genetic Programming

## **6** Experiments

## Search problem

- **Goal:** find such a program in the programming language (*DeepCoder DSL*) that the specification will be met.
- Specification: a list of input-output examples.

### Types:

- Int
- List[Int]

### Functions:

- (10) operations on lists: HEAD, LAST, TAKE, DROP, ACCESS, MINIMUM, MAXIMUM, REVERSE, SORT, SUM
- (5) higher-order functions: MAP, FILTER, COUNT, ZIPWITH, SCANL1

### Other elements of the language:

- (10) lambdas for MAP (ADD1, SUB1, MULTMINUS1, MULT2, MULT3, MULT4, DIV2, DIV3, DIV4, SQUARE).
- (4) predicates for FILTER and COUNT (>0, <0, ISODD, ISEVEN).
- (5) lambdas for ZIPWITH and SCANL (+, -, \*, MIN, MAX).

We use the same DSL as was used in the DeepCoder paper [1].

#### Program representation:

- A variant of linear GP.
- A fixed-length sequence of instructions, each of which issues a function call, and stores it's result in a fresh variable.

### Example program:

P0: Compute the sum of a smallest numbers from the list b.

 $\begin{array}{l} \mathsf{a} \leftarrow \mathsf{int} \\ \mathsf{b} \leftarrow [\mathsf{int}] \\ \mathsf{c} \leftarrow \mathsf{Sort} \ \mathsf{b} \\ \mathsf{d} \leftarrow \mathsf{Take} \ \mathsf{a} \ \mathsf{c} \\ \mathsf{e} \leftarrow \mathsf{Sum} \ \mathsf{d} \end{array}$ 

Input: 2, [1 8 3 5 7] Output: 4  $a \leftarrow int$  $b \leftarrow [int]$ 

Declaring program's input. Variable a will be an arbitrary *Int* provided by the user, and b will be an arbitrary *List*[*Int*].

 $\begin{array}{l} \mathsf{a} \leftarrow \mathsf{int} \\ \mathsf{b} \leftarrow [\mathsf{int}] \\ \mathsf{c} \leftarrow \mathsf{Function} \; \{\mathsf{a}, \, \mathsf{b}\}^+ \\ \mathsf{d} \leftarrow \mathsf{Function} \; \{\mathsf{a}, \, \mathsf{b}, \, \mathsf{c}\}^+ \\ \mathsf{e} \leftarrow ... \end{array}$ 

Every line of the program consists of a single application of a function to the previously defined variables.

For example:

 $\begin{array}{l} \mathsf{a} \leftarrow \mathsf{int} \\ \mathsf{b} \leftarrow [\mathsf{int}] \\ \mathsf{c} \leftarrow \mathsf{Sort} \ \mathsf{b} \\ \mathsf{d} \leftarrow \mathsf{Take} \ \mathsf{a} \ \mathsf{c} \\ \mathsf{e} \leftarrow \mathsf{Sum} \ \mathsf{d} \end{array}$ 

 $\begin{array}{l} \mathsf{a} \leftarrow \mathsf{int} \\ \mathsf{b} \leftarrow [\mathsf{int}] \\ \mathsf{c} \leftarrow \mathsf{Function} \ \mathsf{predicate} \ \{\mathsf{a}, \, \mathsf{b}\}^+ \\ \mathsf{d} \leftarrow \mathsf{Function} \ \mathsf{lamba} \ \{\mathsf{a}, \, \mathsf{b}, \, \mathsf{c}\}^+ \\ \mathsf{e} \leftarrow \ldots \end{array}$ 

Some functions accept certain predicates or lambdas, which are predefined and treated as constant elements of the language.

For example (lambdas in red):

 $\begin{array}{l} \mathbf{a} \leftarrow [\text{int}] \\ \mathbf{b} \leftarrow [\text{int}] \\ \mathbf{c} \leftarrow \mathsf{Map} \ (\texttt{*3}) \\ \mathbf{a} \\ \mathbf{d} \leftarrow \mathsf{ZipWith} \ (\texttt{+}) \\ \mathbf{c} \\ \mathbf{b} \\ \mathbf{e} \leftarrow \mathsf{Maximum} \\ \mathbf{d} \end{array}$ 

## Example problems

P0: Compute the sum of *a* smallest numbers from the list *b*.

$a \leftarrow int$	
$b \gets [int]$	
$c \gets \textbf{Sort} \ b$	
$d \leftarrow Take \mathrel{a} c$	
$e \leftarrow Sum \; d$	

```
Input:
2, [1 8 3 5 7]
...
Output:
4
```

P4: Given lists a and b, compute the minimal area of rectangles of dimensions given in the input lists.

 $\begin{array}{l} x \leftarrow [int] \\ y \leftarrow [int] \\ c \leftarrow Sort x \\ d \leftarrow Sort y \\ e \leftarrow Reverse d \\ f \leftarrow ZipWith (*) d e \\ g \leftarrow Sum f \end{array}$ 

Input: [1 2 3], [4 5 6] ... Output: 28

# Introduction

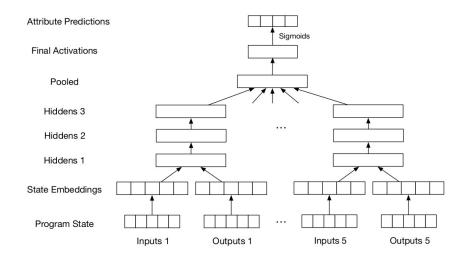
# Search problem

# **③** Training neural network

# Neuro-Guided Genetic Programming

## **6** Experiments

## Network architecture



**Source:** M.Balog, et al., "DeepCoder: Learning to Write Programs", 2016, https://arxiv.org/abs/1611.01989

## Network training

- Training algorithm: Adam.
- Training lasts up to 100 epochs (full passes over the training set).
- Early stopping condition: validation loss ceased to improve.

### Generation of the training set

- All programs up to a certain number of instructions while removing most semantic duplicates.
- Each training case is a tuple (I/O-examples, instructions vector).
- I/O-examples are generated randomly.

- *Small training set* programs up to length 3 with most of the semantic duplicates removed. Total count: 822,582 programs.
- Large training set programs up to length 4 with most of the semantic duplicates removed. Total count: 5,004,532 programs.

### Test sets

- 10,000 programs not present in the training set.
- Several neural architectures and learning algorithms were tested and we selected the one with the highest accuracy on the test set.

training set	total programs	accuracy on test set (%)			
small	822,582	92.48			
large	5,004,532	90.85			

### Heatmaps

#### Small training set:



#### Large training set:

																									.14										
	$_{\rm p1}$	.03	.05	.00	.41	.36	.01	.06	.01	.02	.00	.01	.00	.11	.01	.04	.02	.15	.94	.16	.86	.05	.00	.07	1.00	.00	.07	.03	.09	.06	.00	.06	.08	.00	1.00
																									.00										
																									.02										
ram																									.00										
Prog																									.00										
																									.00										
	p7	.03																							.00										
	p8	.05	.28	.00	.33	.19	.01	.28	.23	.05	.02	.03	.04	.38	.03	.11	.15	.09	.42	.29	.38	.06	.01	.31	.00	.01	.10	.07	.29	.09	.01	.14		.02	.25
р	riors	.11	.11	.28	.28	.33	.06	.11	.16	.11	.11	.11	.11	.26	.04	.11	.11	.04	.54	.28	.04	.28	.04	.11	.11	.11	.11	.07	.32	.07	.11	.11	.03	.10	.57
		20	7	×	×	,	NCCO	addl	count	Sec.	11 <sup>2</sup> 3	Sing.	droft	BHOS	nead	BEVEN		Inst	mar	mat	mainnin	' min	ninimum	mille	milth	milth	ale Alime	reverse	scani	40 <sup>K</sup>	schutte	all'h	ann.	whe	in Will

	p0	.02	.10	.04	.00	.06		.91	.06
	p1	.03	.09	.06	.00	.06	.08	.00	1.00
	p2	.07	.10	.07	.00	.06	.01	.05	1.00
	p3	.11	.53	.09	.01	.11	.30	.00	.22
ram	p4	.03	.09	.06	.17	.94	.13	.00	.92
Frogram	p5	1.00	.15	.03	.00	.05	.00	.00	1.00
	p6	.07	.41	.08	.00	.06	.17	.01	.99
	p7	.06	.57	.09	.02	.14	.92	.00	1.00
	$\mathbf{p8}$	.07	.29	.09	.01	.14		.02	.25
p	oriors	.07	.32	.07	.11	.11	.03	.10	.57
		reverse	scant	SOL	Saliste	subl	SHI	take.	21PWith

**P0:** Compute the sum of *a* smallest numbers from the list *b*.

Spe	ecif	ica	ition:
2,	[1	5	3] $\rightarrow$ 4
1,	[1	8	3 5] $ ightarrow$ 1
3,	[1	8	$3\ 5\ 7]$ $ ightarrow$ 9

#### Target program:

 $\begin{array}{l} \mathsf{a} \leftarrow \mathsf{int} \\ \mathsf{b} \leftarrow [\mathsf{int}] \\ \mathsf{c} \leftarrow \mathsf{Sort} \ \mathsf{b} \\ \mathsf{d} \leftarrow \mathsf{Take} \ \mathsf{a} \ \mathsf{c} \\ \mathsf{e} \leftarrow \mathsf{Sum} \ \mathsf{d} \end{array}$ 

### Heatmaps

	p0	.02	.10	.04	.00	.06	.55	.91	.06
	p1	.03	.09	.06	.00	.06	.08	.00	1.00
	p2	.07	.10	.07	.00	.06	.01	.05	1.00
	p3	.11	.53	.09	.01	.11	.30	.00	.22
ram	p4	.03	.09	.06	.17	.94	.13	.00	.92
Program	p5	1.00	.15	.03	.00	.05	.00	.00	1.00
	p6	.07	.41	.08	.00	.06	.17	.01	.99
	p7	.06	.57	.09	.02	.14	.92	.00	1.00
	$\mathbf{p8}$	.07	.29	.09	.01	.14		.02	.25
1	priors	.07	.32	.07	.11	.11	.03	.10	.57
		reverse	scall	SOL	Sollare	subl	SHIL	take	iiP With

**P1:** Count the number of points of the winner. *a* is a list of wins (3 points), and b is a list of draws (1 point).

### **Specification:** [1 2], [1 2] $\rightarrow$ 8 $[1 \ 0 \ 0], \ [1 \ 1 \ 2] \rightarrow 4$ $[2 \ 2 \ 1 \ 0], [1 \ 1 \ 0 \ 0] \rightarrow 7$

#### **Target program:**

$a \leftarrow [int]$ $b \leftarrow [int]$	
$c \leftarrow Map$ (*3) a	
$d \leftarrow ZipWith(+) c b$	
$e \leftarrow Maximum d$	

р	0	.02	.10	.04	.00	.06		.91	.06
р	1	.03	.09	.06	.00	.06	.08	.00	1.00
р	2	.07	.10	.07	.00	.06	.01	.05	1.00
р	3	.11	.53	.09	.01	.11	.30	.00	.22
p p	4	.03	.09	.06	.17	.94	.13	.00	.92
d frogram d	5	1.00	.15	.03	.00	.05	.00	.00	1.00
р	6	.07	.41	.08	.00	.06	.17	.01	.99
р	7	.06	.57	.09	.02	.14	.92	.00	1.00
р	8	.07	.29	.09	.01	.14		.02	.25
prior	s	.07	.32	.07	.11	.11	.03	.10	.57
		reverse	scanl	gott	Solisie	SUDI	SHIL	take	21PWith

**P4:** Compute the minimal total area of rectangles which are constructed by pairing dimensions given in lists *a* and *b*.

### Specification:

[1	2	3],	[1	2	3]	$\rightarrow$	10
[1	2	2],	[1	1	2]	$\rightarrow$	6

#### Target program:

$a \leftarrow [int]$	
$b \leftarrow [int]$	
$c \leftarrow Sort a$	
$d \leftarrow Sort \ b$	
$e \leftarrow Reverse d$	
$f \leftarrow ZipWith(*) d e$	
$g \leftarrow Sum f$	

Program

# Introduction

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# Neuro-Guided Genetic Programming

### **6** Experiments

- Fixed-length, linear program representation.
- At the beginning, mutation in GP is parametrized with the result returned by network for the input-output examples in the specification.
- Apart from that, GP proceeds normally.
- All programs in a GP run have the same nominal length, computed as: length of the target program + 1.
- Nop operation is included, to allow for effectively shorter programs.

## Search operators

#### Mutation:

- An instruction is randomly selected in the program.
- The function call is analyzed, and constructed is a set of functions with the matching signature.
- A function to insert and its arguments are selected randomly with the probabilities provided by the network (after normalization).

#### Crossover:

- Exchanging up to  $l_c = 2$  consecutive instructions between parents.
- Signatures of the instructions must match.
- If there are no such consecutive instructions, then  $l_c$  is decreased.
- If  $l_c = 0$ , then parent programs are returned unchanged.

# Introduction

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- Neuro-Guided Genetic Programming

### **5** Experiments

### Evolution parameters

• **Preliminary parameter tuning:** the probabilities of mutation and crossover  $p_m, p_c \in \{0.1, 0.3, 0.5, 0.7, 0.9\}$ , population size  $\in \{100, 500, 1000\}$ ; each configuration was ran 30 times.

Parameter	Value
Population size	1000
Max generations	200
Number of runs	50
Probability of mutation $p_m$	0.8
Probability of crossover $p_c$	0.0 or 0.5
Selection method	Tournament ( <b>T</b> ) or Lexicase ( <b>L</b> )
Tournament size	7
Max program length	length of target program $+\;1$
Number of fitness cases	128

Benchmark	P0	P1	P2	P3	P4	P5	P6	Ρ7	P8
Length	3	3	2	4	5	2	4	3	4
Small training set	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$		$\checkmark$	
Large training set	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$

Benchmarks the same as in the DeepCoder paper [1].

### Experiment dimensions

- Small training set 822,582 programs up to length 3.
- Large training set 5,004,532 programs up to length 4.

Total tested configurations =  $2 \cdot \ldots$ 

### Experiment dimensions

- T Tournament selection (size 7)
- L Lexicase selection

Total tested configurations =  $2 \cdot 2 \cdot \ldots$ 

### Experiment dimensions

- **C** Crossover used ( $p_c = 0.5$ )
- **N** Crossover not used ( $p_c = 0.0$ )

Total tested configurations =  $2 \cdot 2 \cdot 2 \cdot 2 \cdot \ldots$ 

- $\bullet~U$  Search operators biased with a uniform distribution
- **P** Search operators biased with *prior probabilities* reflecting the frequency of instructions in the training set
- S Search operators biased using NN; only mutation
- **IS** Search operators biased using *NN*; both mutation and population initialization

Total tested configurations  $= 2 \cdot 2 \cdot 2 \cdot 4 = 32$ 

### Observation 1

- IS is much better than S.
- Because of that, in the further analysis we present results only for the **IS** variant.

configuration	avg success rate
S (mut)	0.574
<b>IS</b> (mut, pop_init)	0.735

## Observation 2

- Crossover does not make much difference for the effectiveness of search.
- $\bullet\,$  Because of that, in the further analysis we focus on the N (no crossover) variant.

configuration	avg success rate
C (crossover)	0.573 0.580
<b>N</b> (no crossover)	0.560

• Configurations parametrized with probability estimates were better than baselines.

Success rates for the small training set. Legend: T (tournament), L (lexicase), U (unbiased), P (priors baseline), S (search), IS (initialization and search).

method cx	т <i>и</i> 0.0	т <sub>Р</sub> 0.0	т <i>іs</i> 0.0	L <i>U</i> 0.0	L <sub>Р</sub> 0.0	L <i>is</i> 0.0
P2 (2)	1.00	1.00	1.00	1.00	1.00	1.00
P5 (2)	1.00	1.00	1.00	0.98	1.00	1.00
P0 (̀3)́	0.70	0.34	1.00	0.58	0.40	1.00
P1 (3)	0.18	0.26	0.54	0.16	0.20	0.96
P7 (3)	0.16	0.34	0.56	1.00	1.00	1.00
P3 (4)	0.14	0.12	1.00	0.52	0.28	1.00
P6 (4)	0.08	0.06	0.04	0.40	0.82	0.78
P8 (4)	0.18	0.10	0.28	0.36	0.26	0.82
P4 (5)	0.14	0.02	0.00	0.52	0.38	0.14
mean	0.40	0.36	0.60	0.61	0.59	0.86
rank	10.72	12.00	8.28	8.50	8.17	4.17

• Configurations parametrized with probability estimates were better than baselines.

**Success rates for the large training set.** Legend: T (tournament), L (lexicase), U (unbiased), P (priors baseline), S (search), IS (initialization and search).

method cx	т <i>и</i> 0.0	т <sub>Р</sub> 0.0	т <i>іs</i> 0.0	L <i>U</i> 0.0	L <sub>Р</sub> 0.0	L <i>is</i> 0.0
P2 (2)	1.00	1.00	1.00	1.00	1.00	1.00
P5 (2)	1.00	1.00	1.00	0.98	0.98	1.00
P0 (̀3)́	0.70	0.34	1.00	0.58	0.54	1.00
P1 (3)	0.18	0.20	0.58	0.16	0.16	0.98
P7 (3)	0.16	0.28	0.78	1.00	0.98	1.00
P3 (4)	0.14	0.10	0.68	0.52	0.46	0.98
P6 (4)	0.08	0.00	0.12	0.40	0.64	0.72
P8 (4)	0.18	0.16	0.42	0.36	0.32	0.84
P4 (5)	0.14	0.02	0.00	0.52	0.52	0.32
mean	0.40	0.34	0.62	0.61	0.62	0.87
rank	10.56	12.33	7.28	8.50	9.39	3.56

• Average success rate on the selected benchmarks was slightly higher for the small training set.

training set	avg success rate
small	0.581
large	0.573

### Ranks for the tested configurations (Friedman's test):

$small_N$	(p = 0.00877)	Method Rank	 -	 -	-	т <sub><i>s</i> 5.50</sub>	-	
small <sub>C</sub>	(p = 0.01058)	Method Rank	 -	 -	-	т <sub>s</sub> 5.22	-	
large <sub>N</sub>	(p = 0.00093)	Method Rank	 -	 -	-	т <sub>s</sub> 5.44	-	
$large_C$	(p = 0.00075)	Method Rank	 -	 -	-	т <sub>s</sub> 5.11	-	

**Legend:** small/large (training set used), N (no crossover), C (crossover), T (tournament), L (lexicase), U (unbiased), P (priors baseline), S (search), IS (initialization and search).

- Neuro-Guided GP first trains the neural network on the family of search problem instances of interest, and then uses this network to guide search.
- Neural network is able to generalize beyond the program size it was trained on.
- Neuro-Guided GP fared better than standard GP and baselines on a small set of problems.

# Thank you for your attention!

# Bibliography I

- Matej Balog et al. "DeepCoder: Learning to Write Programs". In: arXiv preprint arXiv:1611.01989 (2016).
- [2] Paweł Liskowski, Iwo Błądek, and Krzysztof Krawiec. "Neuro-guided Genetic Programming: Prioritizing Evolutionary Search with Neural Networks". In: Proceedings of the Genetic and Evolutionary Computation Conference. GECCO '18. Kyoto, Japan: ACM, 2018, pp. 1143–1150.