

CLINICAL DECISION SUPPORT SYSTEMS AND DECISION SUPPORT MODELS

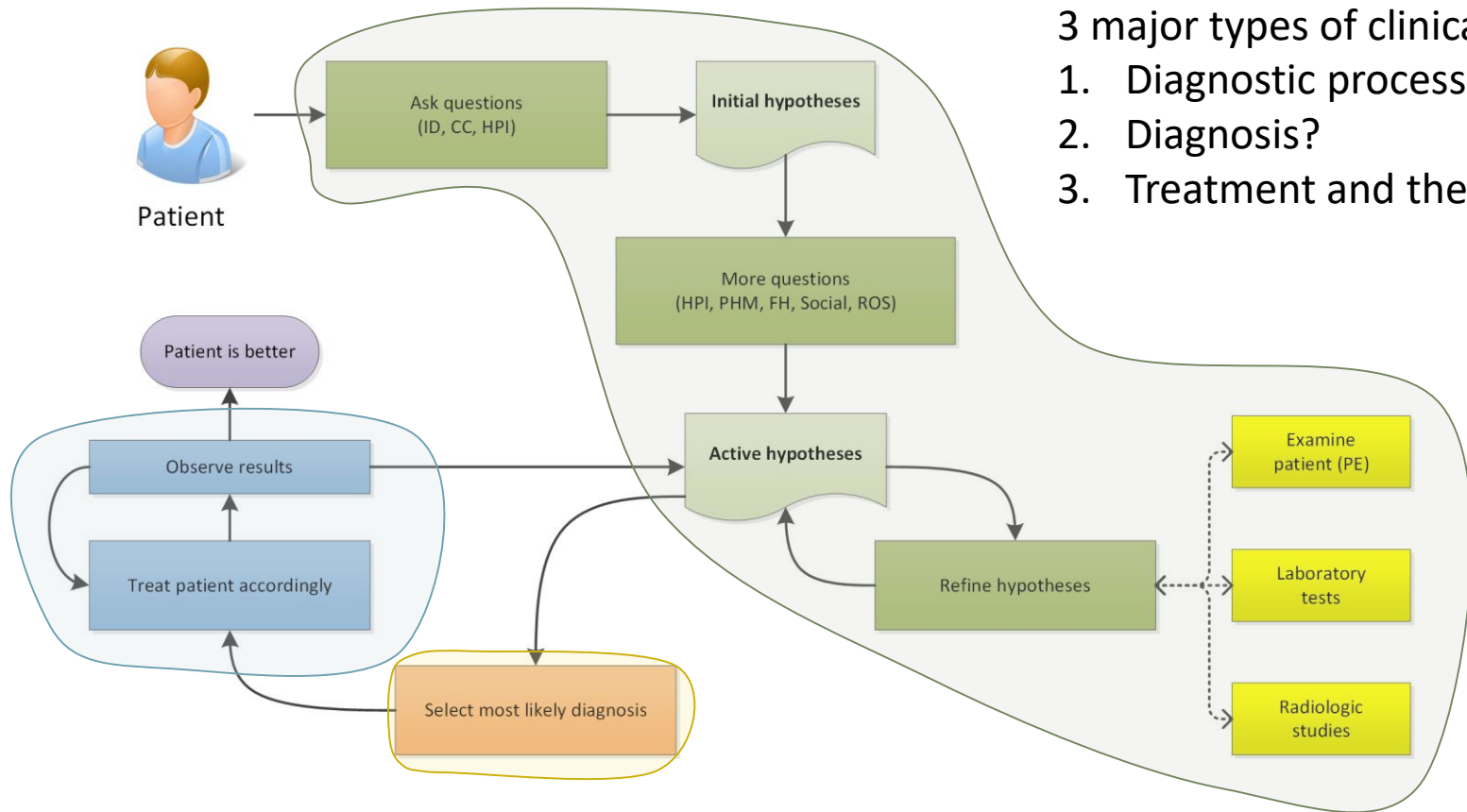


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INTRODUCTION

Patient management process



- 3 major types of clinical decisions:
1. Diagnostic process?
 2. Diagnosis?
 3. Treatment and therapy?

Supporting clinical decisions

Making correct decisions requires:

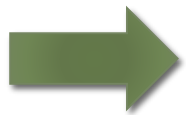
1. Accurate and adequate data and information
2. Appropriate and current (up-to-date) knowledge
3. Problem solving skills (→ experience and ability to apply knowledge to data)

Clinical decision support (CDS) – the process that “provides clinicians with knowledge and person-specific information, intelligently filtered or presented at appropriate times, to enhance health and health care” [Osheroff et al., 2004]

Requirements for CDS tools

Factors determining successful deployment of CDS tools

1. Offering support as part of already adopted workflow
2. Providing suggestions covering the entire management process, not only diagnostic evaluation
3. Availability where and when necessary (at bed-side)
4. Implementation in form of a computer system



Clinical decision support systems (CDSS)

Clinical decision support systems

CDSS is any computer system that helps healthcare providers (**and patients...**) make clinical decisions...

„In a sense, any computer system that deals with clinical data or knowledge is intended to provide decision support” [Musen, Shahar, Shortliffe]

1. Systems for managing data, information and knowledge
2. Systems for focusing attention, reminding and alerting
3. Systems for providing patient-specific suggestions

CDSS do not replace healthcare providers in decision making, but support them!



CDSS characteristics

5 major categories and 26 axes to provide detailed description:

1. **Context** (setting, supported decisions, relation to point of care...)
2. **Knowledge and data source** (sources of clinical knowledge, sources and types of data, knowledge update mechanism...)
3. **Decision support** (reasoning method, *recommendation explicitness*, required user response...)
4. **Information delivery** (*delivery format*, *delivery mode*, explanation ability, action integration...)
5. **Workflow** (system user, target decision maker, workflow integration...)

Recommendation explicitness

- **Indirect** recommendations
 - System delivers information or knowledge (including evidence-based resources, publications, guidelines...)
 - Ultimate decision is derived and made by the user
- **Direct** recommendations
 - System delivers possible decision options (e.g., possible diagnoses)
 - User selects and confirms one of the suggestions

Delivery format (for direct suggestions)

- **Consultation** – system delivers to the user possible decision options (established from available data)
- **Critique** – user enters their own suggestion, and the system evaluates it and proposes revisions (e.g., checking and revising drug orders to avoid interactions)



From the user's perspective, a better *subjective* control
when critique format is used

Delivery mode

- **Passive** – user explicitly requests support from the system and provides all necessary data
- **Active** – system monitors available data and provides support appropriate for a given context



Problems with too “sensitive” active systems – too many alerts may decrease vigilance of the user

OVERVIEW OF DECISION MODELS

Scoring systems

- Evaluation of the patient state (or risk) using a simple additive value function

Asthma symptoms	PRAM Score			
	0	1	2	3
Suprasternal indrawing	absent		present	
Scalene retractions	absent		present	
Wheezing	absent	expiratory	inspiratory and expiratory	audible without stethoscope/ absent with no air entry
Air entry	normal	decreased at bases	widespread decrease	absent/minimal
Oxygen saturation	≥ 95%	92 - 95%	<92%	

- Usually based on regression models (logistic, linear, ...) → simplified representation and calculations

Example of a scoring system

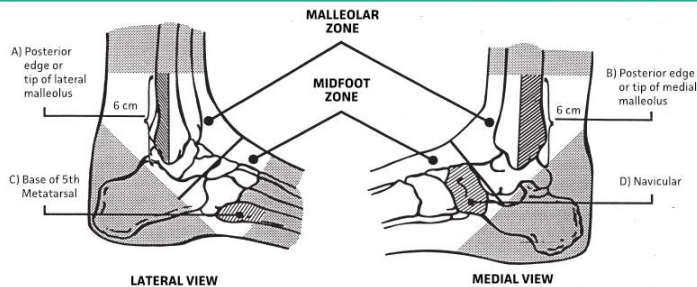
Risk factor	Categories	Reference value (W_{ij})	β_i	$\beta_i(W_{ij} - W_{iREF})$	Points _{ij} = $\beta_i(W_{ij} - W_{iREF})/B$
Age			0.0575		
	30–39	34.5 = W_{1REF}		0	0
	40–49	44.5		0.5750	2
	50–59	54.5		1.1500	4
	60–69	64.5		1.7250	6
	70–79	74.5		2.3000	8
Sex			1.3078		
	Female	0 = W_{2REF}		0	0
	Male	1		1.3078	5
Systolic blood pressure			0.0185		
	< 120	107		-0.3330	-1
	120–129	125 = W_{3REF}		0	0
	130–139	135		0.1850	1
	140–159	150		0.4625	2
	≥ 160	170		0.8325	3
Current smoker			0.9456		
	No	0 = W_{4REF}		0	0
	Yes	1		0.9456	3

$$B = 5(0.0575) = 0.2875$$

Number of “regression units” per one score point

Decision rules and trees

- Symbolic representation of domain knowledge
- Easy interpretation and possibility of application without a computer-based interpretation



Stiell, I.G., R.D. McKnight, G.H. Greenberg et collab.
Implementation of the Ottawa Ankle Rules. JAMA, no 271. 1994, p. 827-832.

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Ottawa Ankle Rule

For Ankle Injury Radiographs

MD+
CALC

An ankle x-ray series is only required if there is pain in the malleolar zone AND any of these findings:

- Bone tenderness at A (posterior edge or tip of lateral malleolus), OR
- Bone tenderness at B (posterior edge or top of medial malleolus), OR
- Inability to bear weight both immediately after injury and in ED.

A foot x-ray series is only required if there is pain in the malleolar zone AND any of these findings:

- Bone tenderness at C (base of 5th metatarsal), OR
- Bone tenderness at D (navicular), OR
- Inability to bear weight both immediately after injury and in ED.

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Decision rules

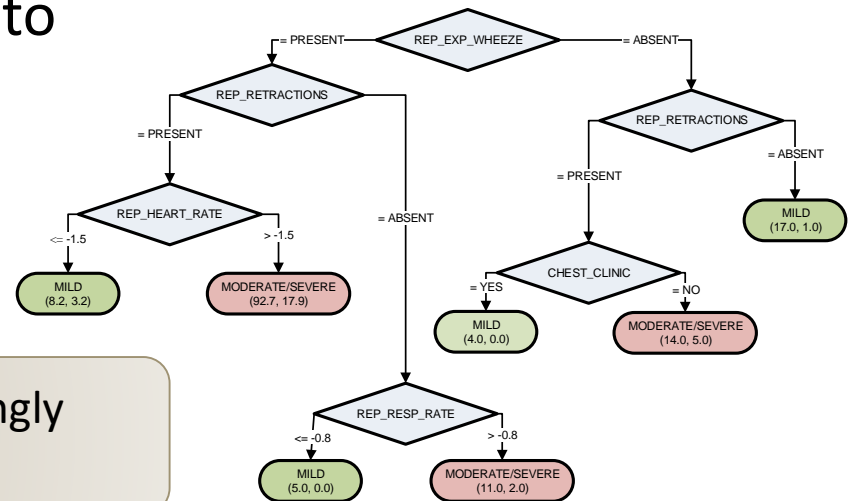
- **Classification-oriented** induction following *separate-and-conquer* principle
 - Construction of a minimal set of rules that separate positive from negative examples
 - Pruning of individual rules and an entire resulting set of rules
 - **Discovery-oriented** induction following exhaustive search over the space of possible rules (additional requirements)
-
- Induction sometimes combined with additional techniques for dealing with inconsistent information, e.g., **rough set theory**
 - Approximations of sets (decision classes) representing certain and possible knowledge
 - Extension for multi-criteria analysis with preference-ordered decision classes and values of attributes

Decision trees

- Induction from data following *divide-and-conquer* principle

CART → L. Breiman et al. (1984)
C4.5/C5.0 → R. Quinlan (1994)

- Greedy selection of the best splitting condition (into two or more subset)
- Recursive partition of each of the obtained subsets
- Pruning of resulting trees to avoid overfitting and to obtain a more general model
- Possibility of translating trees into rules
- *Forest* – a model composed of multiple trees



Gradient boosting trees become increasingly popular in clinical applications

Naïve Bayesian classifier

$$P(C_i|X) \propto p(C_i)P(X|C_i) \quad \longrightarrow \quad P(C_i|X) \propto p(C_i) \prod_j p(x_j|C_i)$$

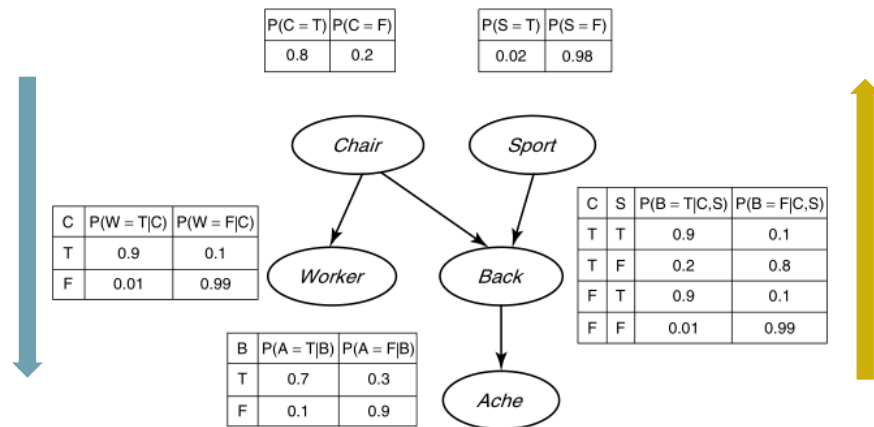
- Assumption about the independence of attributes – satisfactory practical performance despite its frequent violation
- Probabilities $p(x_j|C_i)$ are easy to establish and update
- Extensions for numerical attributes – Gaussian distribution (with parameters estimated from data) or kernel functions

One of the first decision models used in clinical decision support systems

Bayesian network

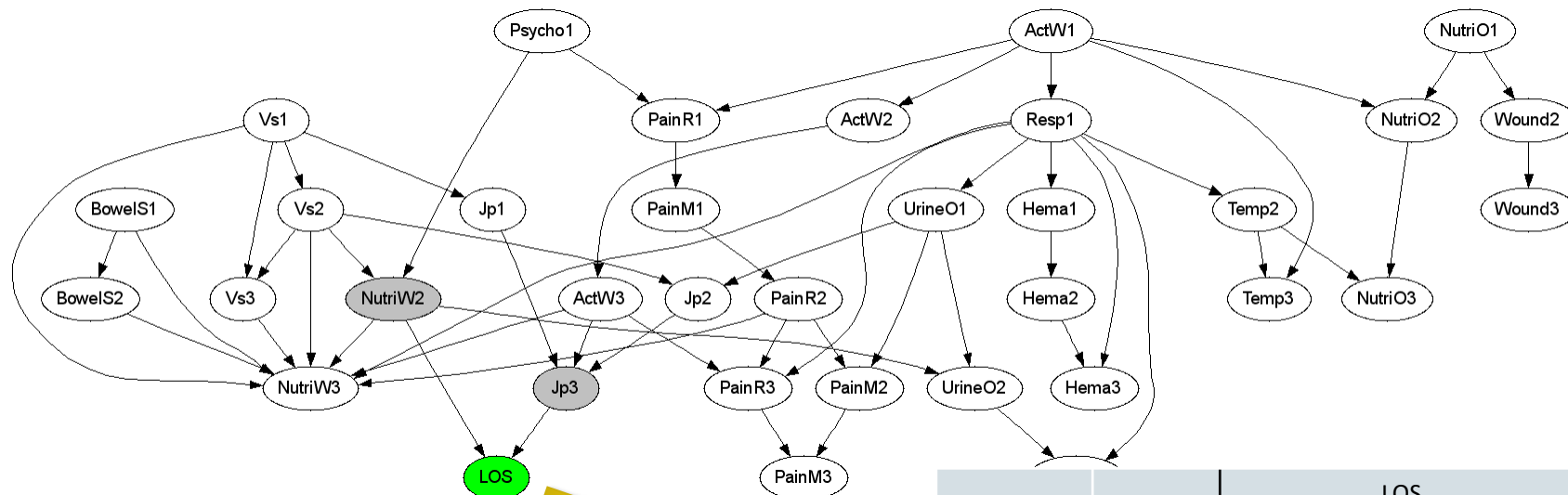
$$P(X_1, X_2, \dots, X_n) = \prod_i p(X_i | \pi_i)$$

- **Graphical** model – acyclic directed graph with *dependencies* between variables and associated *probabilities*



- **Predictive** (top-down) and **diagnostic** (bottom-up) reasoning
- Automatic construction from data (e.g., K2 algorithm) or based on expert knowledge

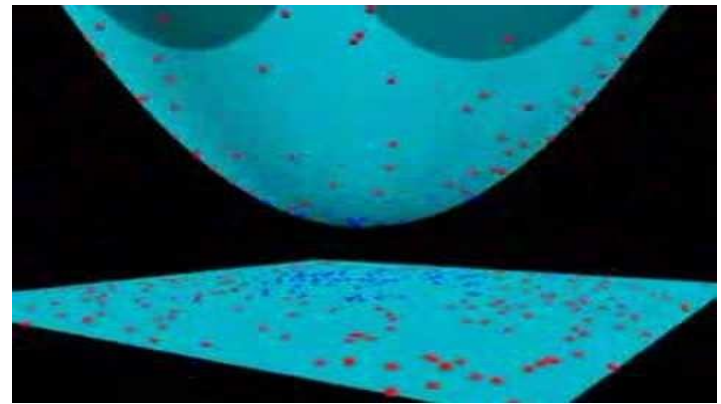
Example of Bayesian network



		LOS	
<i>NutriW2</i>	<i>Jp3</i>	Normal	Delayed
Liquid	None	0.4	0.6
Liquid	Small	0.3	0.7
Liquid	Medium	0.2	0.8
Liquid	Large	0.1	0.9
Regular	None	0.7	0.3
Regular	Small	0.6	0.4
Regular	Medium	0.5	0.5
Regular	Large	0.5	0.5

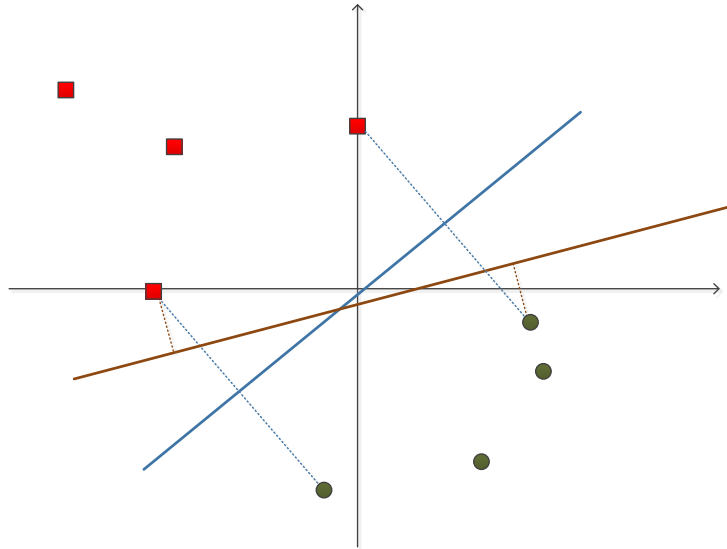
Support vector machines

- Model for binary classification
 - Separation of objects from different classes with a hyperplane – optimization (maximization) of the boundary margin
 - In case of poor linear separability transition to a space with a larger number of dimensions



- In case of multi-class problems construction of multiple models – *one-versus-all* or *one-versus-one*

Support vector machines



$$\begin{aligned} \max: W(\alpha) &= -\alpha^T \mathbf{1} + \frac{1}{2} \alpha^T H \alpha \\ \text{st: } \alpha^T &= 0, 0 \leq \alpha \leq C \mathbf{1} \end{aligned}$$

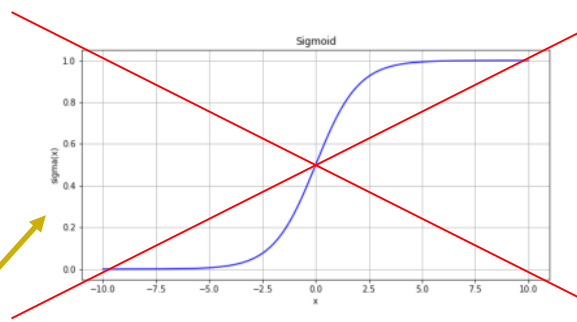
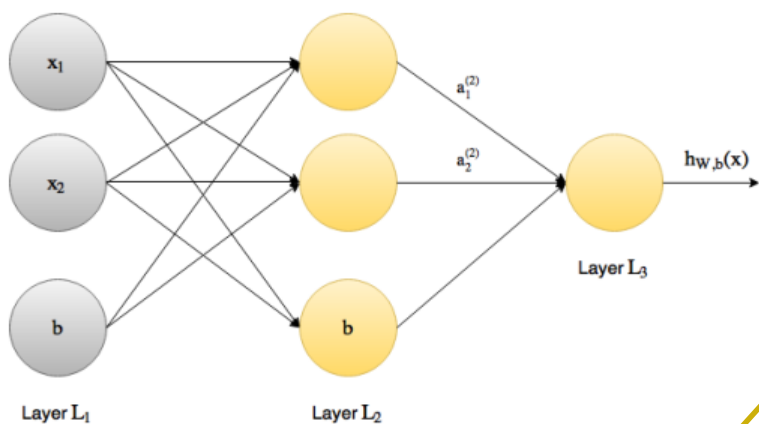
$$H_{ij} = y_i y_j \left(\phi(x_i) \phi(x_j) \right)$$

$$K(x_i, x_j) = \phi(x_i) \phi(x_j)$$

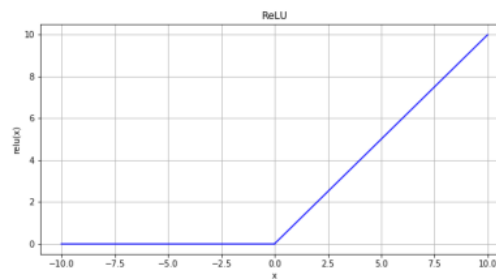
$$K(x_i, x_j) = \exp\left(-\frac{\|x_i - x_j\|^2}{2\sigma^2}\right)$$

Kernel trick → application of a **kernel function** to get a vector product in a **new space** (selection of a specific kernel function and its parameters for a given problem)

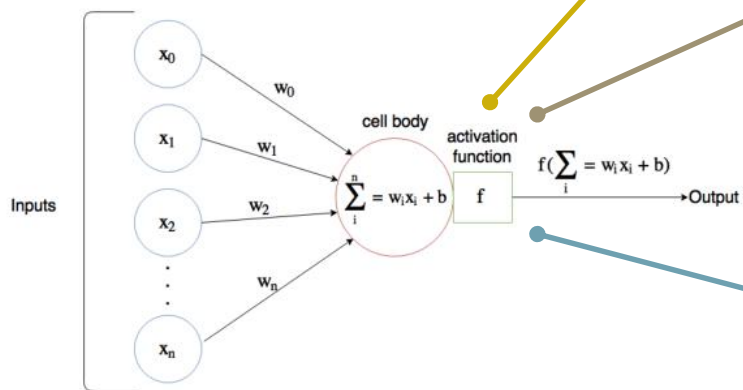
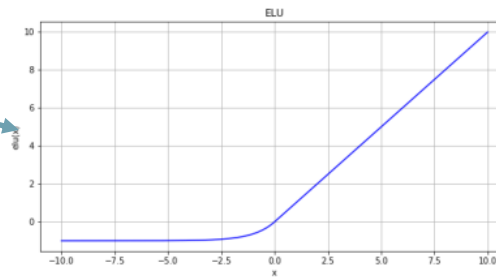
Neural networks



ReLU = rectified linear unit

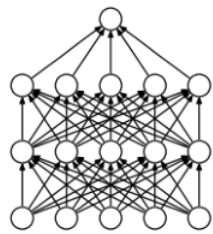
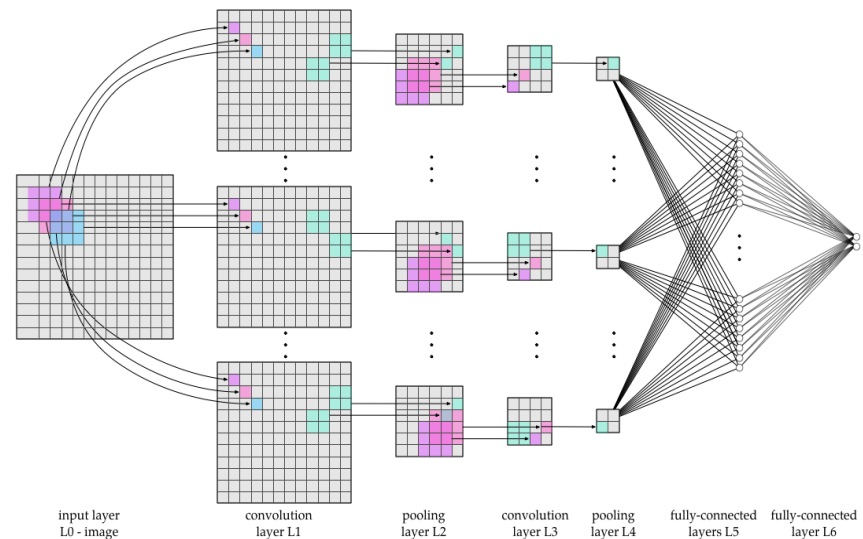


ELU = exponential linear unit

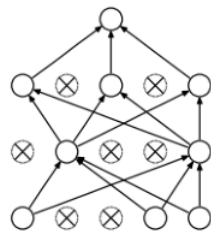


Deep neural networks

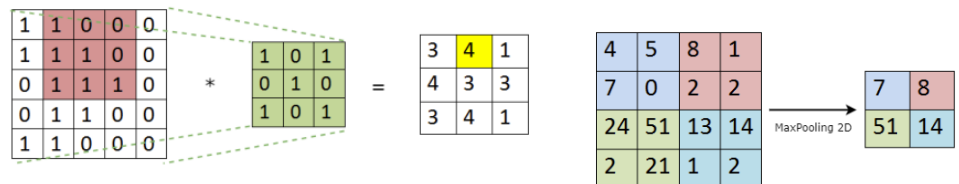
- Multiple hidden layers
- Feature selection and construction
 - *Convolution* layers
 - *Pooling* layers
 - *Dropout* technique
- Classification using newly constructed features (*fully-connected* layers)



(a) Standard Neural Net



(b) After applying dropout.



Example of deep neural network

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December 13, 2016

Development and Validation of a Deep Learning Algorithm for Detection of Diabetic Retinopathy in Retinal Fundus Photographs

Varun Gulshan, PhD¹; Lily Peng, MD, PhD¹; Marc Coram, PhD¹; et al

» Author Affiliations | Article Information

JAMA. 2016;316(22):2402-2410. doi:10.1001/jama.2016.17216

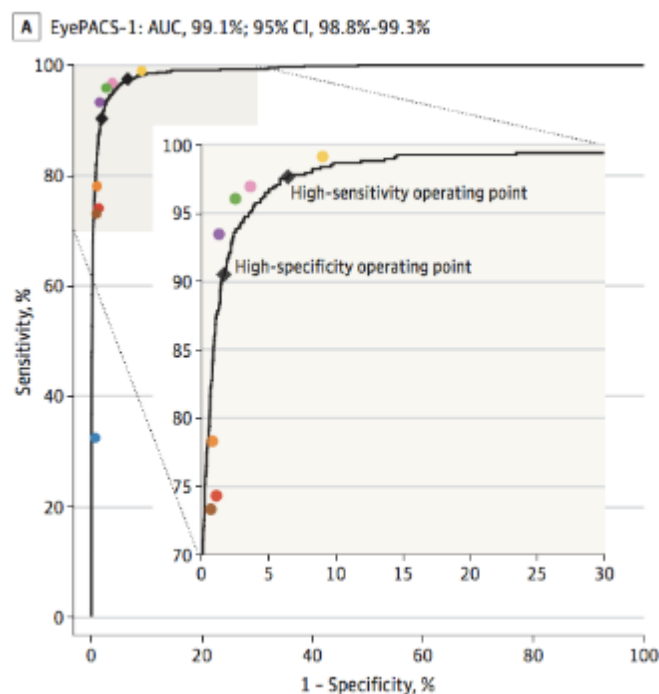
Editorial Comment

Key Points

Question How does the performance of an automated deep learning algorithm compare with manual grading by ophthalmologists for identifying diabetic retinopathy in retinal fundus photographs?

Finding In 2 validation sets of 9963 images and 1748 images, at the operating point selected for high specificity, the algorithm had 90.3% and 87.0% sensitivity and 98.1% and 98.5% specificity for detecting referable diabetic retinopathy, defined as moderate or worse diabetic retinopathy or referable macular edema by the majority decision of a panel of at least 7 US board-certified ophthalmologists. At the operating point selected for high sensitivity, the algorithm had 97.5% and 96.1% sensitivity and 93.4% and 93.9% specificity in the 2 validation sets.

Meaning Deep learning algorithms had high sensitivity and specificity for detecting diabetic retinopathy and macular edema in retinal fundus photographs.



Automatic diagnosis of diabetic retinopathy

mp.pl OKULISTYKA

Znajdź lekarza

Zdrowe Oczy Wady wzroku Objawy Choroby Badania Inne zagadnienia Lekarze odpowiadają

Okulistyka » Aktualności » Oprogramowanie skuteczne jak okulista

Oprogramowanie skuteczne jak okulista

Jerzy Dziekoński
Kurier MP

Udział sztucznej inteligencji w screeningu retinopatii cukrzycowej jest nieodzowny – uważa prof. Andrzej Grzybowski, kierownik Instytutu Okulistycznych Badań Naukowych w Poznaniu, który od lat prowadzi badania przesiewowe w tym kierunku. Za jego opinią przemawiają też liczby – wyłowienie chorych z powikłaniami ocznymi spośród blisko 3 mln osób z cukrzycą staje się realne, jeśli sojusznikiem jest skuteczne oprogramowanie.



Fot. Mieczysław Michalak / Agencja Gazeta

Prof. nadzw. dr hab. Andrzej Grzybowski, kierownik Katedry Okulistyki Uniwersytetu Warmińsko-Mazurskiego oraz kierownik Instytutu Okulistycznych Badań Naukowych w Poznaniu, jest założycielem i prezesem fundacji Okulistyka 21. Organizacja od kilku lat prowadzi badania z zakresu retinopatii cukrzycowej. Rokrocznie w Poznaniu przeprowadzono screening chorujących na cukrzycę pod kątem wspomnianych powikłań. Podobnie w bieżącym roku, jednak różnica polega na tym, że tym razem do pomocy zaprzęgnięto sztuczną inteligencję.

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Autonomous AI that instantly detects disease

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IDx-DR

The first ever autonomous AI system cleared by the FDA to provide a diagnostic decision



How to test for DR in primary care

Download our white paper on the pros and cons of telemedicine and AI solutions

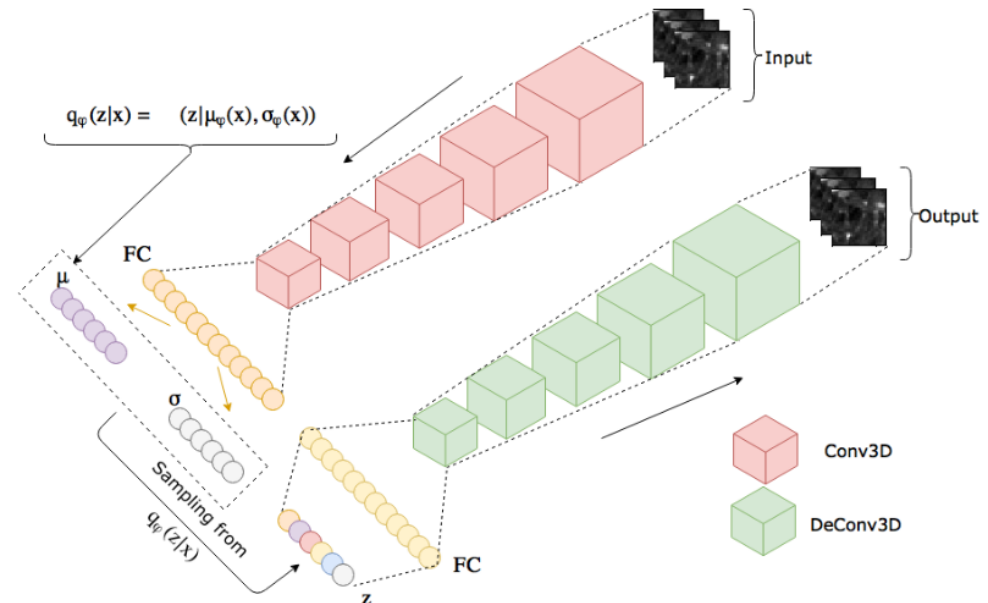
87% Sensitivity
90% Specificity
96% Imageability

Endpoints Exceeded By A Wide Margin



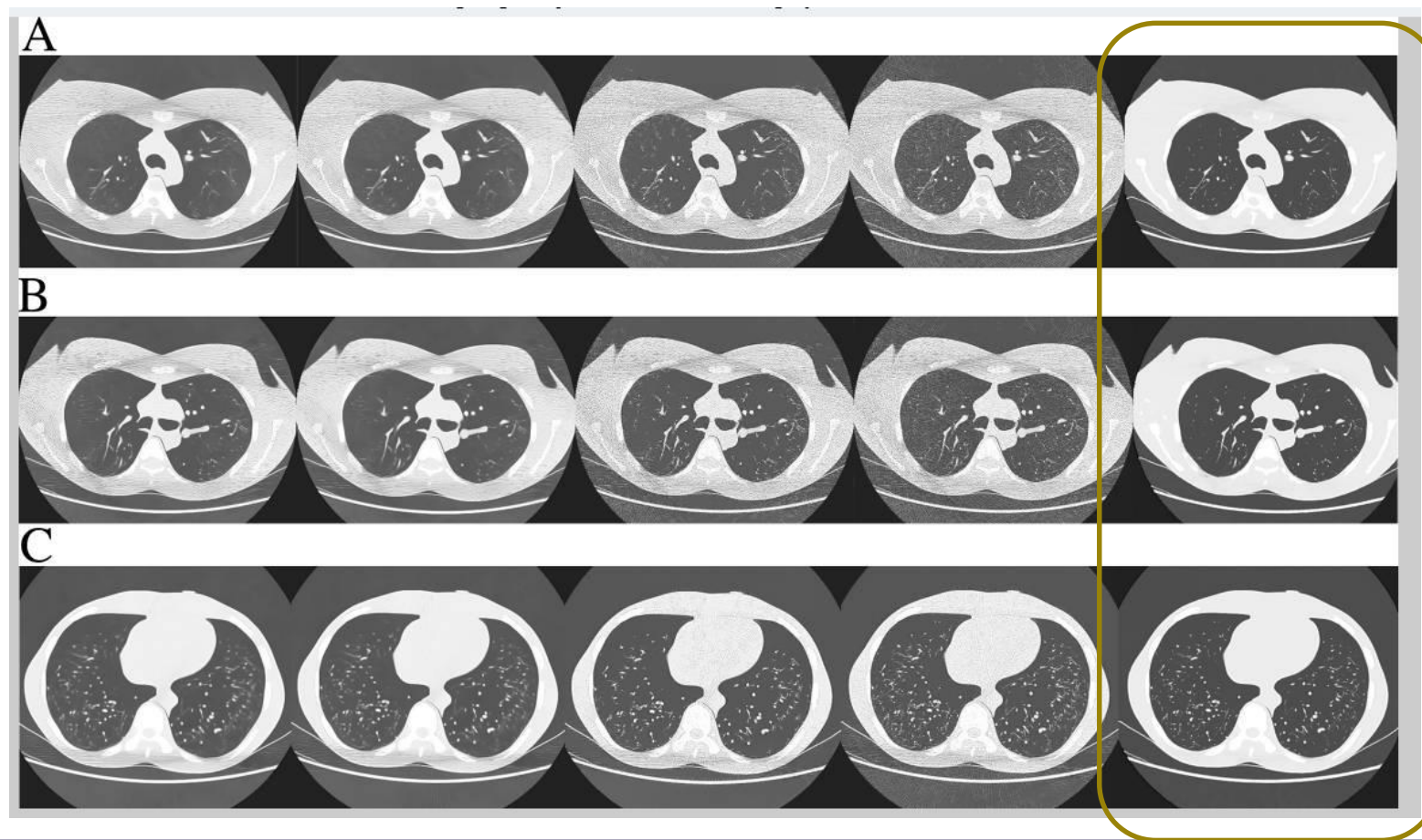
Autoencoders

- Convolution networks that reconstruct an input patten (image)
- Data compression – transformation of input to a reduced set of values (neurons on the hidden layer)
- Using information captured by hidden layer for further processing (e.g., dimensionality reduction for other classification models)
- *Variational* autoencoders
→ possible applications for one-class decision problems



Sample application of autoencoders

Improving the quality of CT scans



HANDLING IMBALANCED DATA

Difficulty factors in clinical data

- Challenges for data mining from clinical data
 - Missing and imprecise values, inconsistent examples
 - Uneven distribution of patients across decision classes

Minority class (usually critical) vs. majority classes → class imbalance

- Class imbalance deteriorates performance of classifiers learned from data (especially for the minority class!)
- Three groups of approaches to address this problem
 - *Data-level* methods – preprocessing before learning (more prevalent)
 - *Algorithm-level* methods – specialized learning algorithms
 - *Cost-based* methods – methods that consider costs of misclassifications (at different times)

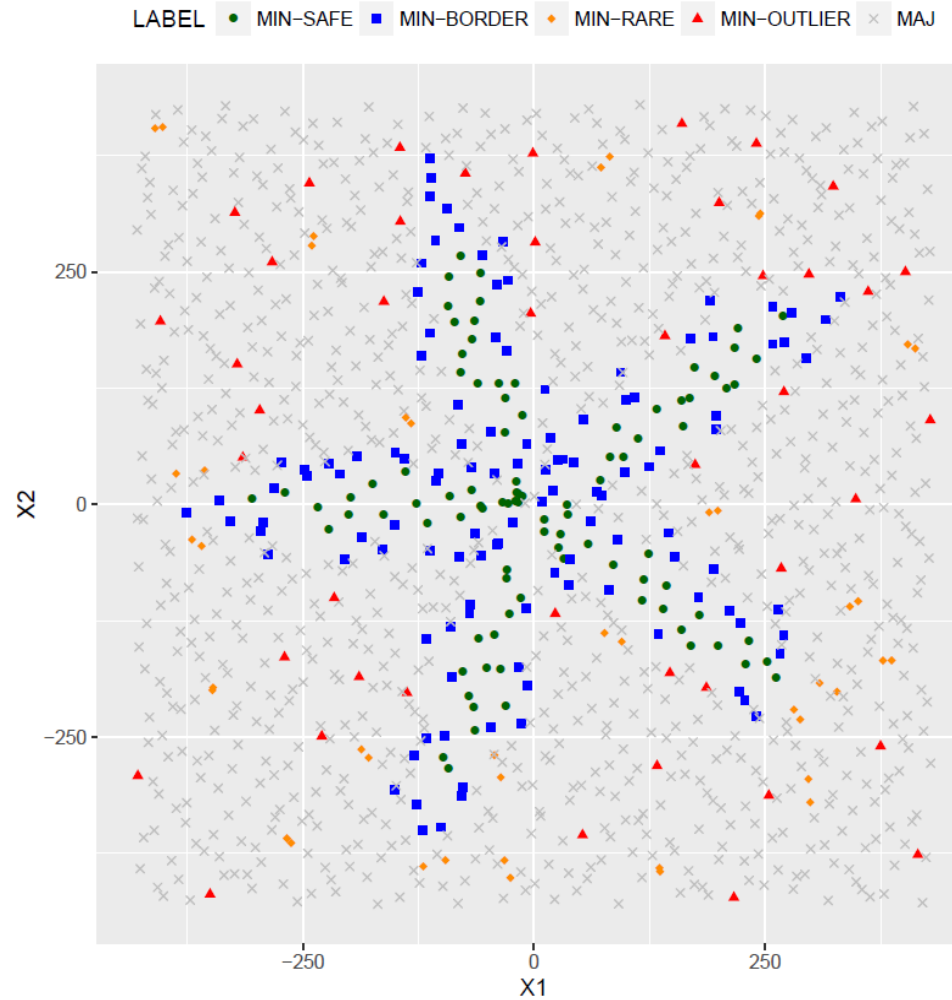
Dealing with imbalanced data

Class imbalance is not the only or main problem...

- Other data difficulty factors (affecting the minority class)
 - Overlapping regions between classes
 - Rare sub-concepts (\rightarrow *small disjuncts*) in the minority class and “outliers” thrown into the majority classes
- Identification of difficulty factors – tagging examples based on their local neighborhood [Napierała and Stefanowski, 2015]

Safe vs. unsafe (\rightarrow borderline, rare and outlier)

Types of examples capturing difficulty factors



Goal and research questions

Goal: evaluate and compare combinations of preprocessing methods and classifiers on clinical data

1. What are the data difficulty factors encountered in the analyzed clinical data sets?
2. How do the preprocessing methods improve the performance of obtained classifiers?
3. What are the best combinations of preprocessing methods and classifiers?

Special focus on the minority class

- Real-life clinical data sets collected in the ED at CHEO
- Common or relevant pediatric presentations
- Minority class indicates patients requiring quick care and significant resources



Considered data sets

Data set	Clinical problem	# examples (minority)	Imbalance ratio	# attributes (numeric)
AP	Abdominal pain	457 (48)	0.11	13 (3)
HP	Hip pain	412 (46)	0.11	20 (4)
SP	Scrotal pain	409 (56)	0.14	14 (3)
AE1	Asthma exacerbations (2004)	362 (59)	0.16	32 (11)
AE2	Asthma exacerbations (2007)	240 (21)	0.09	42 (9)

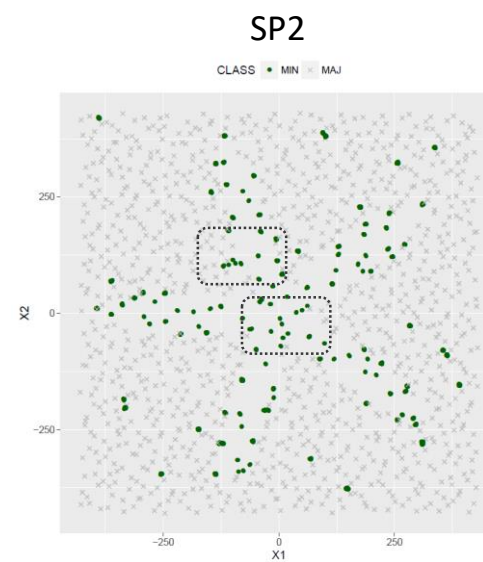
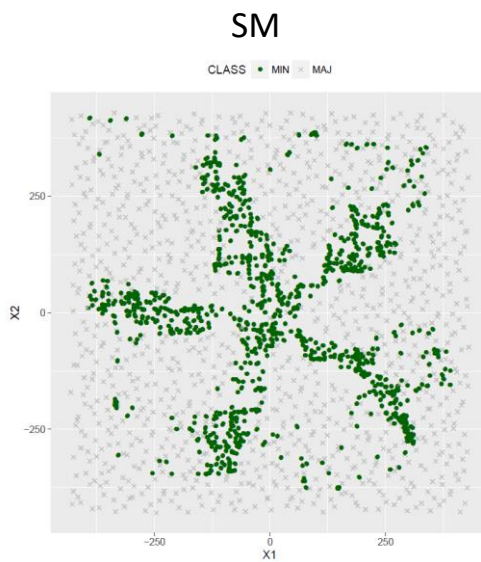
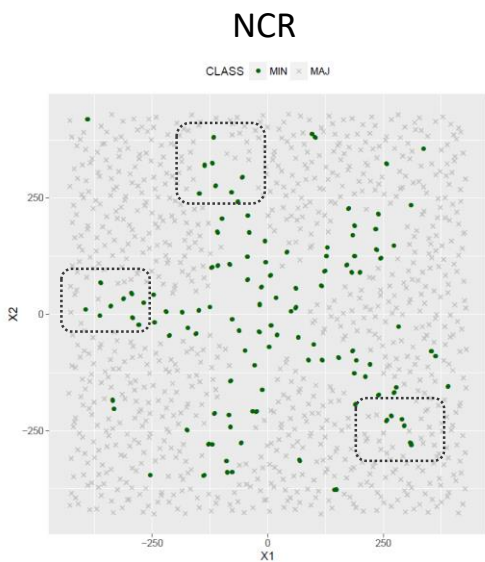
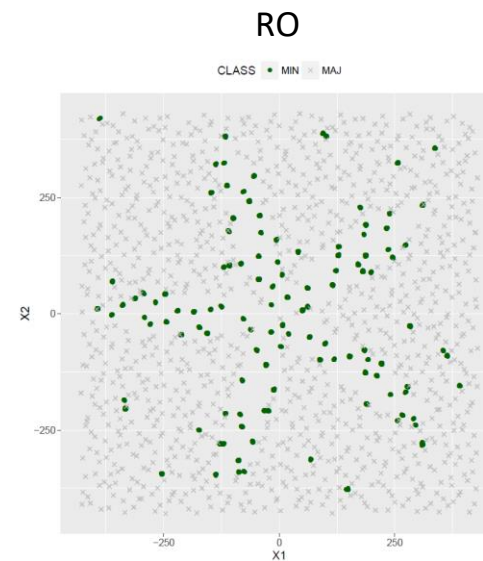
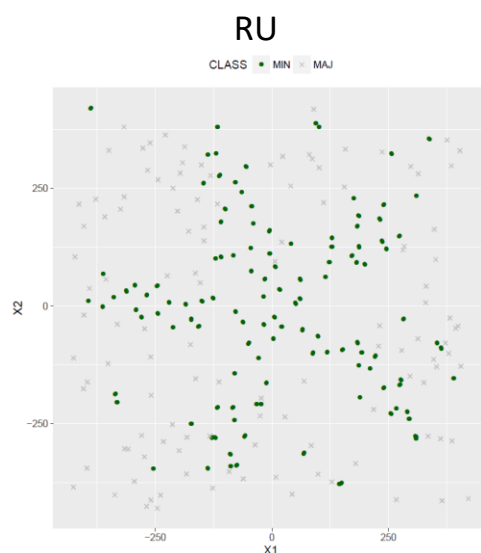
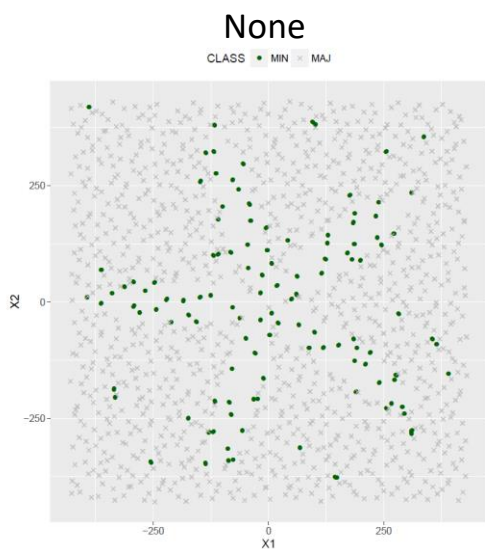
- Data collected retrospectively (HP, SP and AE1) and prospectively (AP and AE2)
- Removal of attributes with $\geq 50\%$ of missing values (15 in SP, 10 in AE1)
- All non-critical classes combined into a single majority class



Experimental design

1. Identifying data difficulty factors in the data sets (by tagging examples with their types)
2. Evaluating the performance of selected combinations of preprocessing methods and classifiers on the data sets
 - Sensitivity, specificity and their geometric mean (G-mean, GM)
 - Stratified 10-fold cross validation repeated 10 times for reduced variance
 - Friedman test ($\alpha = 0.05$) to compare the performance of multiple combinations of preprocessing methods and classifiers over multiple data sets

Illustration of Preprocessing Methods



Encountered Data Difficulty Factors

Data set	% Safe	% Borderline	% Rare	% Outlier
AP	29	38	8	25
HP	7	28	15	50
SP	4	53	11	32
AE1	2	63	10	25
AE2	14	24	10	52

- Large portion of unsafe (esp. borderline and outlier) examples
- Very small portion of safe examples

Observed sensitivity

AP	None	RU	RO	SM	NCR	SP2
1NN	0.4300	0.7500	0.4300	0.5220	0.5635	0.5005
3NN	0.4385	0.7390	0.6495	0.5365	0.5330	0.6230
C45	0.3680	0.7610	0.5140	0.5005	0.5455	0.5710
PART	0.4375	0.7595	0.5170	0.5255	0.5340	0.5325
NB	0.7160	0.7990	0.7875	0.6770	0.7490	0.8135
RBF	0.5130	0.7860	0.7645	0.6535	0.6685	0.7405
SVM	0.5020	0.7935	0.7880	0.6150	0.5770	0.7640

SP	None	RU	RO	SM	NCR	SP2
1NN	0.2743	0.6307	0.2743	0.3950	0.4743	0.2793
3NN	0.2440	0.6590	0.5553	0.5240	0.4617	0.5513
C45	0.3990	0.6203	0.5523	0.3950	0.4550	0.5883
PART	0.3893	0.6637	0.5487	0.3597	0.4683	0.5760
NB	0.4343	0.7797	0.7203	0.4077	0.5187	0.7220
RBF	0.3913	0.6977	0.4920	0.4070	0.4743	0.5220
SVM	0.3293	0.6597	0.3813	0.3350	0.4163	0.3947

GM – consistent with sensitivity (RU + NB)
 Specificity – deteriorated (worst for RU)

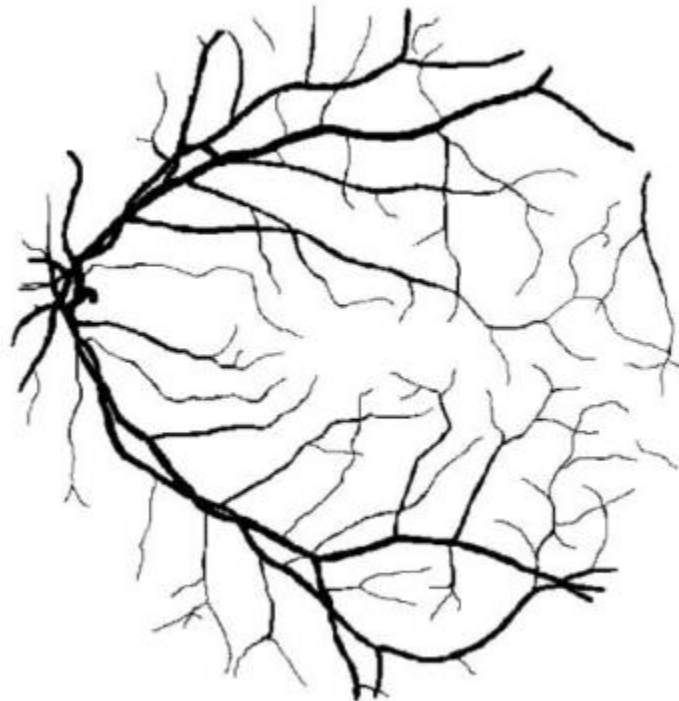
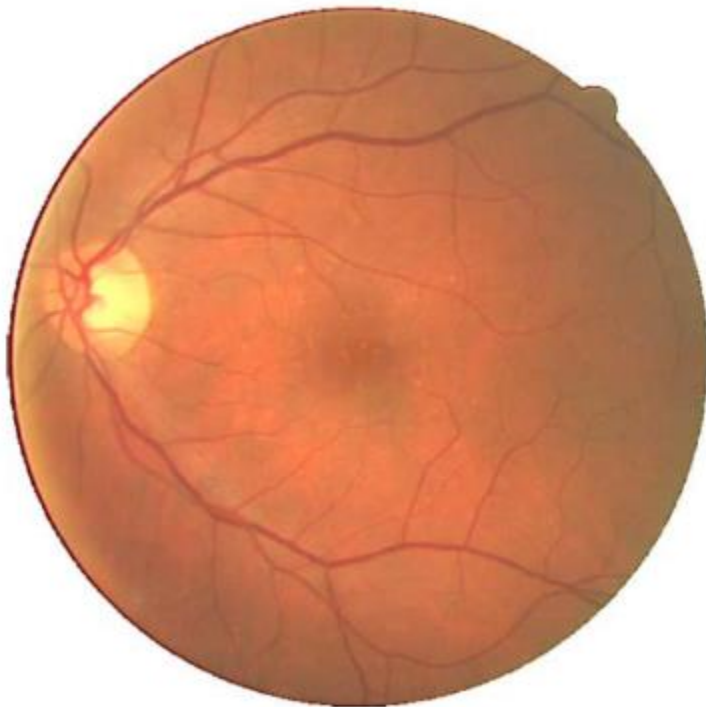
HP	None	RU	RO	SM	NCR	SP2
1NN	0.2035	0.6035	0.2035	0.3315	0.3040	0.2035
3NN	0.1205	0.6025	0.4300	0.3630	0.2095	0.4280
C45	0.2690	0.7170	0.4965	0.3865	0.3365	0.4780
PART	0.2875	0.6955	0.5115	0.3585	0.3370	0.4840
NB	0.7535	0.8480	0.8510	0.5645	0.7660	0.8615
RBF	0.5475	0.7920	0.7145	0.4245	0.5865	0.6840
SVM	0.5100	0.7210	0.4985	0.4445	0.5340	0.4970

AE1	None	RU	RO	SM	NCR	SP2
1NN	0.2743	0.5903	0.2743	0.4570	0.3957	0.2760
3NN	0.1623	0.6327	0.5097	0.5277	0.3163	0.4860
C45	0.1847	0.6080	0.3910	0.2913	0.3097	0.3617
PART	0.2553	0.6330	0.3723	0.2823	0.3497	0.3953
NB	0.4897	0.7143	0.6833	0.4680	0.5803	0.7167
RBF	0.4343	0.6940	0.6683	0.4763	0.5203	0.7080
SVM	0.3217	0.6170	0.3147	0.3583	0.4080	0.3720

AE2	None	RU	RO	SM	NCR	SP2
1NN	0.1000	0.5867	0.1000	0.3217	0.1317	0.1000
3NN	0.0900	0.7133	0.4200	0.4417	0.1500	0.3750
C45	0.1733	0.6733	0.3933	0.1500	0.2683	0.3300
PART	0.2617	0.6767	0.3767	0.2817	0.3483	0.3400
NB	0.7117	0.7967	0.7267	0.2400	0.7467	0.7533
RBF	0.5317	0.7917	0.7367	0.2500	0.6800	0.7533
SVM	0.4117	0.5950	0.3200	0.3433	0.3533	0.2900

Another study – deep neural networks

Problem: blood vessel segmentation in fundus images



Another study – deep neural networks

Application of simple (random) undersampling → even distribution of classes

TABLE III
PERFORMANCE OF MODELS (POINT ESTIMATES FOR DRIVE, AVERAGES WITH .95 CONFIDENCE INTERVALS FOR STARE)

	DRIVE						STARE					
	AUC	Acc	Acc*	Kappa	Sens	Spec	AUC	Acc	Acc*	Kappa	Sens	Spec
PLAIN	.9683	.9479	.9473	.7653	.7417	.9804	.9767±.0053	.9559±.0071	.9551±.0072	.7477±.0451	.7495±.0721	.9788±.0081
GCN	.9708	.9487	.9475	.7708	.7550	.9792	.9787±.0049	.9571±.0064	.9572±.0064	.7573±.0394	.7620±.0656	.9789±.0072
ZCA	.9719	.9485	.9472	.7756	.7819	.9748	.9783±.0062	.9563±.0064	.9562±.0066	.7598±.0317	.7718±.0490	.9783±.0055
AUGMENT	.9663	.9466	.9453	.7610	.7447	.9784	.9744±.0048	.9527±.0068	.9512±.0069	.7306±.0431	.7376±.0720	.9769±.0086
BALANCED	.9738	.9230	.9251	.7193	.9160	.9241	.9820±.0045	.9309±.0107	.9620±.0051	.7021±.0305	.9307±.0274	.9304±.0133
NO-POOL	.9720	.9495	.9486	.7781	.7763	.9768	.9785±.0066	.9566±.0082	.9568±.0081	.7622±.0415	.7867±.0698	.9754±.0099

INTERPRETABILITY OF DECISION MODELS

Interpretability of decision model

A possibility of “looking into” a decision model and its outcomes
(→ **explainable AI**)

- **Transparency** – ability to understand an entire model (before it can be applied in practice)
- **Post-hoc interpretability** – ability to explain a decision suggestion for a specific case (a given patient)
- Significant challenge for non-symbolic classifiers – construction of auxiliary decision models for providing explanations

GDPR and ISO/IEC 27001 – a possibility to make the results re-traceable on demand

Lipton, Z. C. (2018). The Mythos of Model Interpretability. *Acmqueue*, 16(3). <https://doi.org/10.1016/j.apmr.2007.10.023>.

Holzinger, A., Biemann, C., Pattichis, C. S., & Kell, D. B. (2017). What do we need to build explainable AI systems for the medical domain?, (MI), 1–28. Retrieved from <http://arxiv.org/abs/1712.09923>

Explaining decisions in neural networks

Introduction of a *global average pooling layer* to identify relevant regions on the image (\rightarrow **class activation map**)

<http://cnlocalization.csail.mit.edu/>

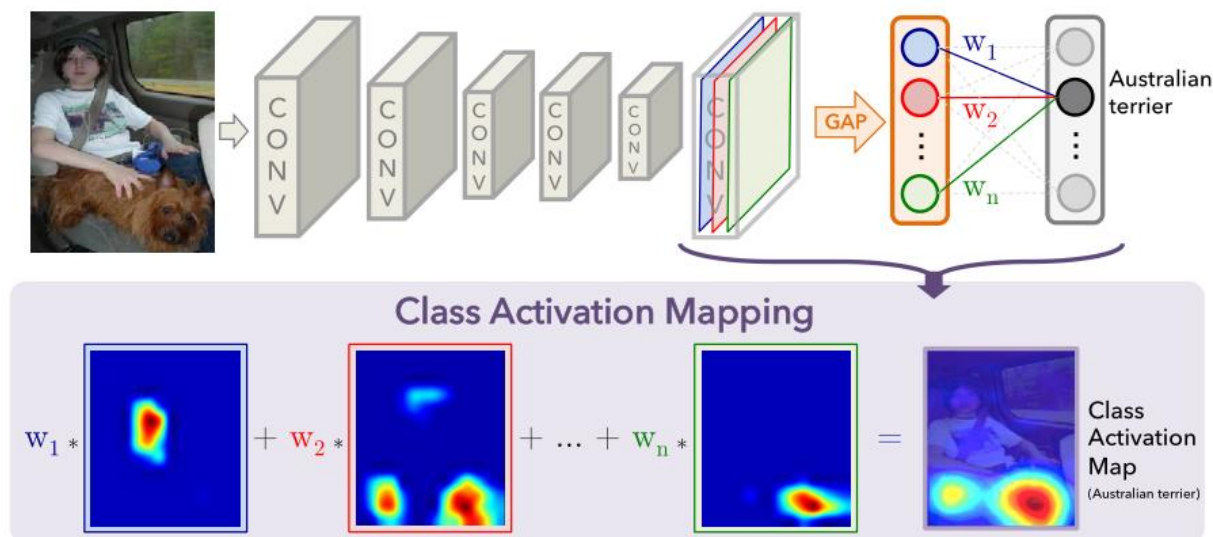
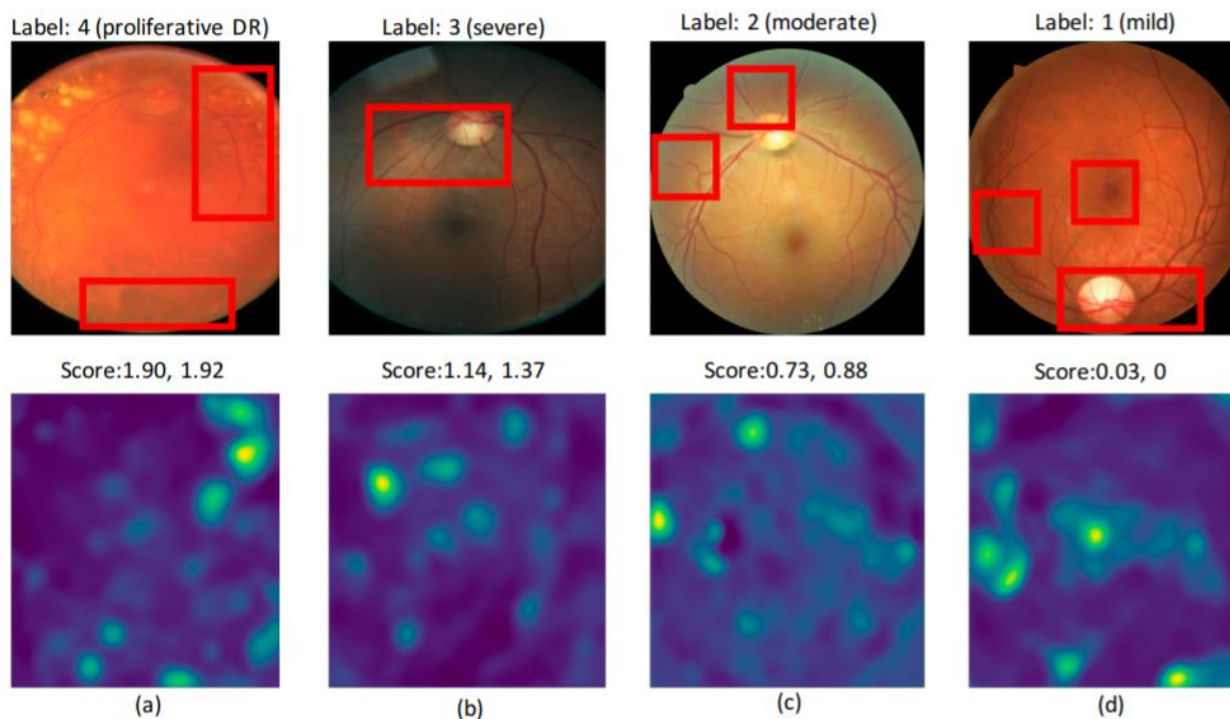


Figure 2. Class Activation Mapping: the predicted class score is mapped back to the previous convolutional layer to generate the class activation maps (CAMs). The CAM highlights the class-specific discriminative regions.

Explaining decisions in neural networks

Similar solution for regression (\rightarrow **regression activation map**)



LIME – Local Interpretable Model-Agnostic Explanations

- Constructs explainable model for a specific case
- Selects relevant cases that give global understanding of how a black model works (submodular pick)

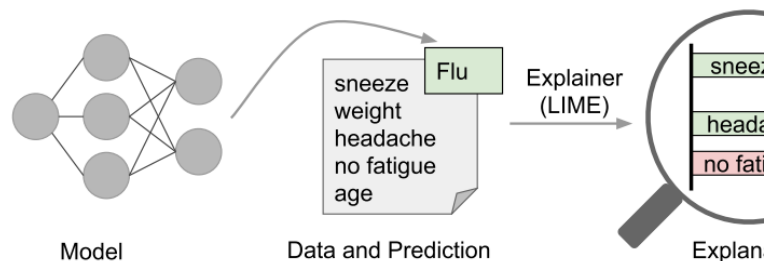


Figure 1: Explaining individual predictions. A model predicts that a patient has the flu based on the symptoms in the patient’s history that led to the prediction. LIME identifies the features contributing to the “flu” prediction, while “no fatigue” is evidence against the prediction. This provides an informed decision about whether to trust the model’s prediction.

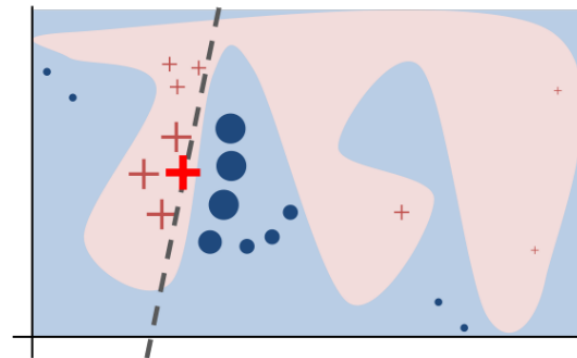


Figure 3: Toy example to present intuition for LIME. The black-box model’s complex decision function f (unknown to LIME) is represented by the blue/pink background, which cannot be approximated well by a linear model. The bold red cross is the instance being explained. LIME samples instances, gets predictions using f , and weighs them by the proximity to the instance being explained (represented here by size). The dashed line is the learned explanation that is locally (but not globally) faithful.

BETA – Black Box Explanations through Transparent Approximations

- Constructs an interpretable white-box decision model
- Aimed at optimizing the *fidelity* to the original model and *interpretability* of the explanation (also complexity)
- Two-level decision rules – *neighborhood description* and *decision logic*

If Age < 50 and Male =Yes:

If Past-Depression =Yes and Insomnia =No and Melancholy =No, then Healthy

If Past-Depression =Yes and Insomnia =Yes and Melancholy =Yes and Tiredness =Yes, then Depression

If Age ≥ 50 and Male =No:

If Family-Depression =Yes and Insomnia =No and Melancholy =Yes and Tiredness =Yes, then Depression

If Family-Depression =No and Insomnia =No and Melancholy =No and Tiredness =No, then Healthy

Default:

If Past-Depression =Yes and Tiredness =No and Exercise =No and Insomnia =Yes, then Depression

If Past-Depression =No and Weight-Gain =Yes and Tiredness =Yes and Melancholy =Yes, then Depression

If Family-Depression =Yes and Insomnia =Yes and Melancholy =Yes and Tiredness =Yes, then Depression

DATA FUSION

Problem statement

Focus on a single data modality may be insufficient to construct a comprehensive and accurate clinical decision model

- Most of the developed clinical decision model rely on a single data modality (e.g., “traditional” data or image data)
- **Data fusion** may be used address the above limitation

Data fusion

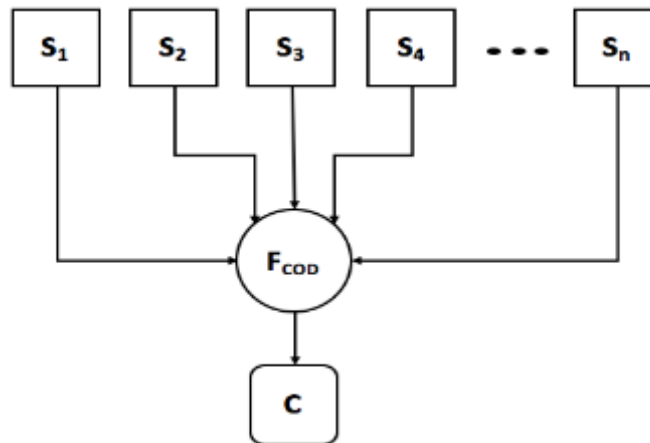
Integration of data and knowledge from multiple sources of diversified format and structure

- Human perception system → extended angular vision is obtained by the combination of percepts from each eye
- Human brain → fusion on information collected through all the senses and previous memory to generate orderly action
- Other application areas – multi-sensor networks, surveillance systems, imaging studies

Data fusion techniques

Combination of data (COD)

- Aggregation of data from various sources into a single space
- Construction of a decision model using aggregated space

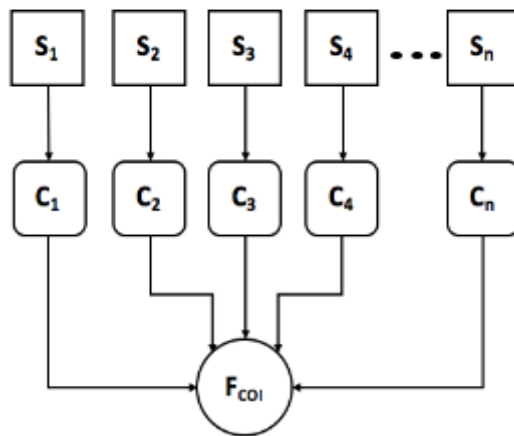


- Drawback: curse of dimensionality

Data fusion techniques

Combination of interpretations (COI)

- Construction of decision models from each data source
- Combination of outcomes of obtained models by a combiner to produce a single decision (→ stacking)

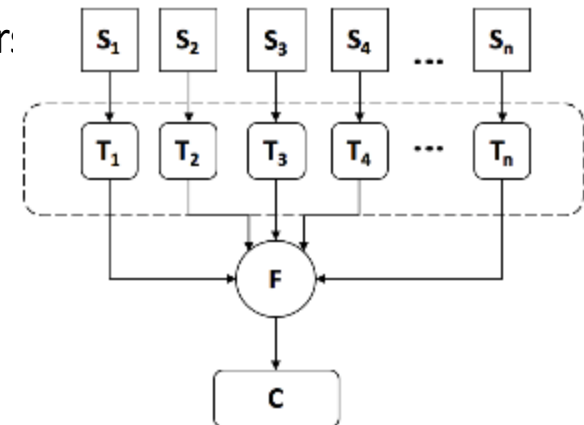


- **Drawback: Inability to handle inter-source dependencies**

Data fusion techniques

General fusion framework (GFF)

- Brining data into a homogeneous space through a series of simple and complex transformations
 - Simple: data pre-processing (feature selection, transformation)
 - Complex: construction of “intermediary” classifier:
- Construction of the final classifier from the homogeneous space

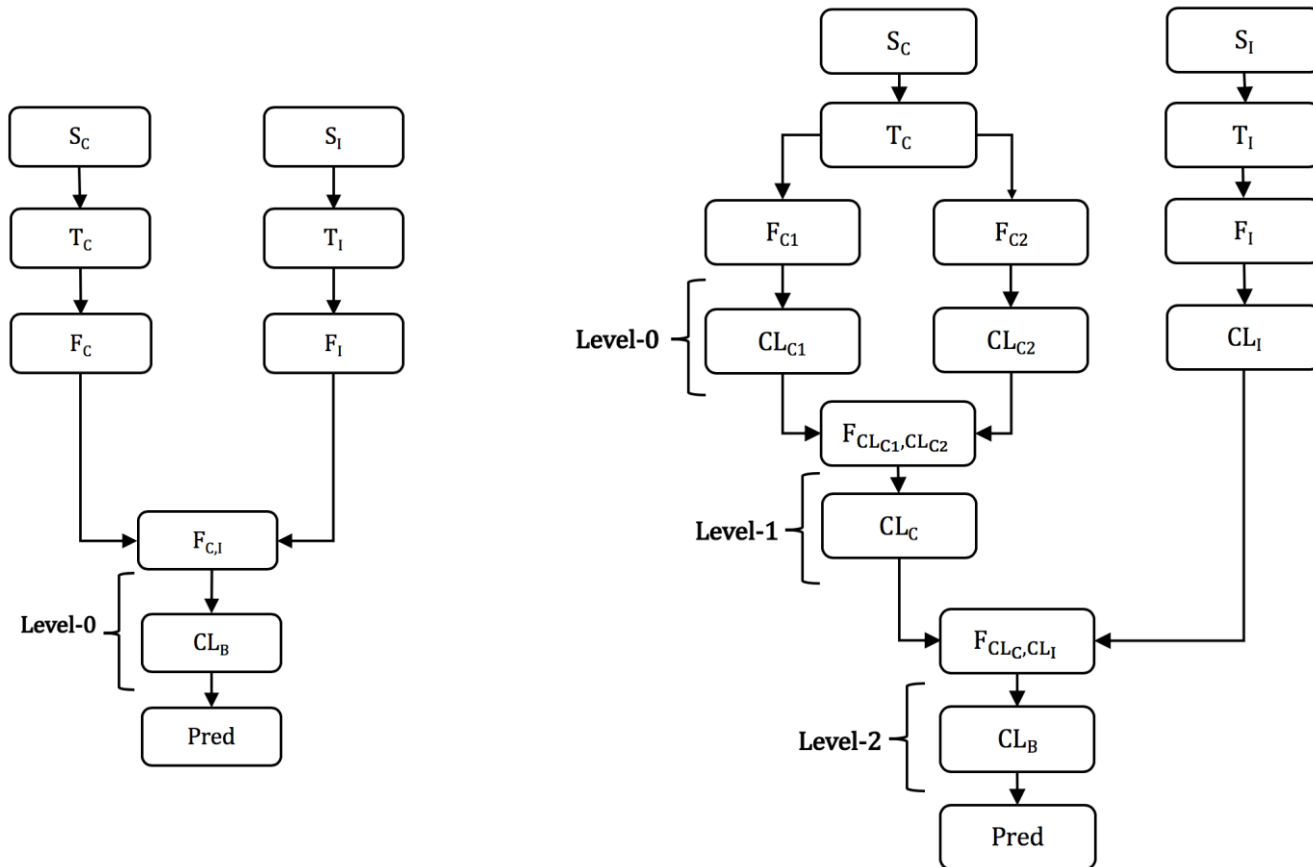


- **Drawback: selecting transformations and their sequence**

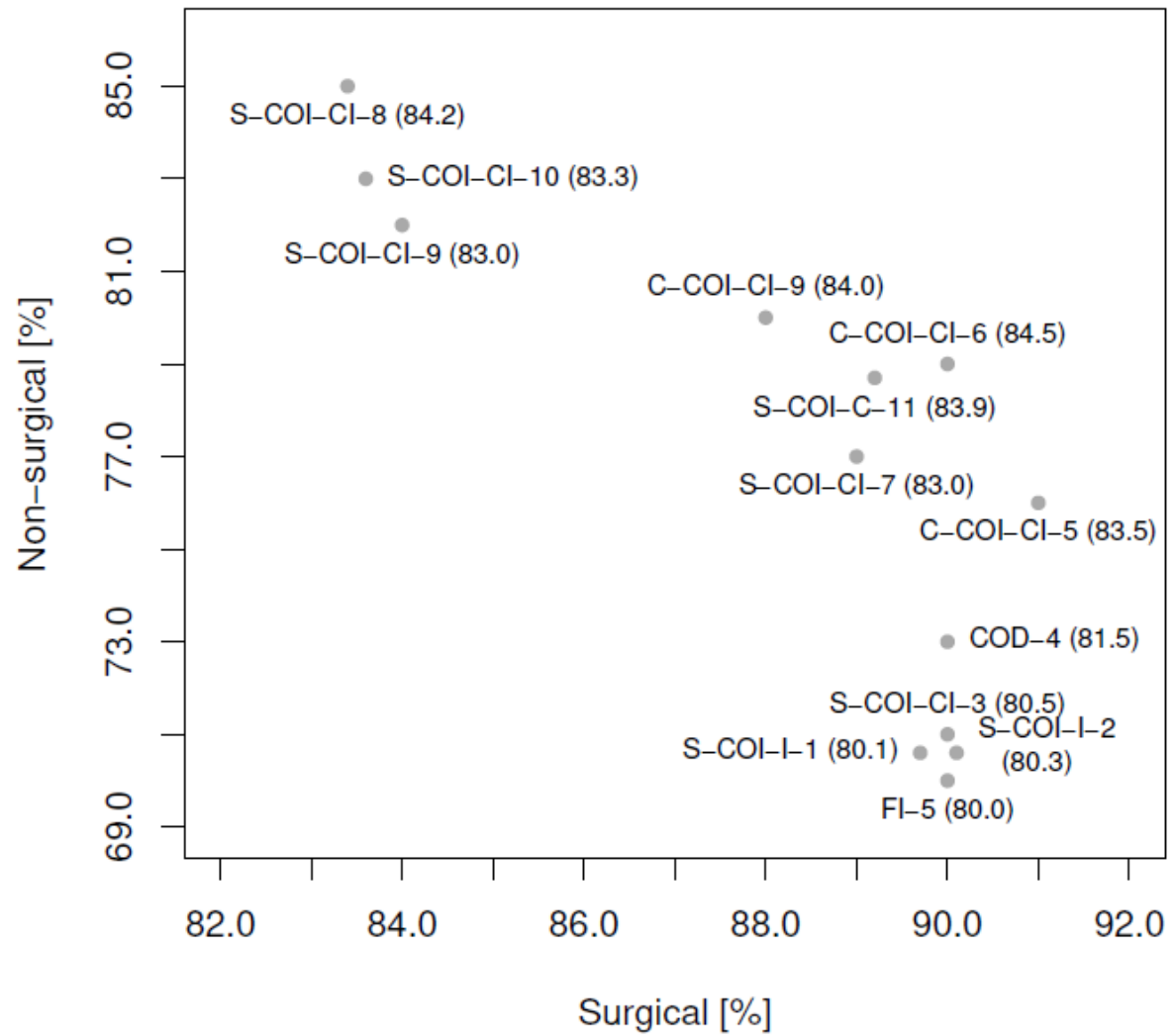
Predicting treatment for fractures

- Prediction of the type of treatment in patients with fractures – surgical vs. non-surgical
- Non-image data (demographics, results of examinations and lab tests) and image data (X-ray)
- 210 patients extracted from a repository of educational cases hosted by the WCT telemedical platform
- Comparison of COD and COI approaches (of varying complexity)

Example fusion models



Results



Application of deep learning

ARTICLE OPEN

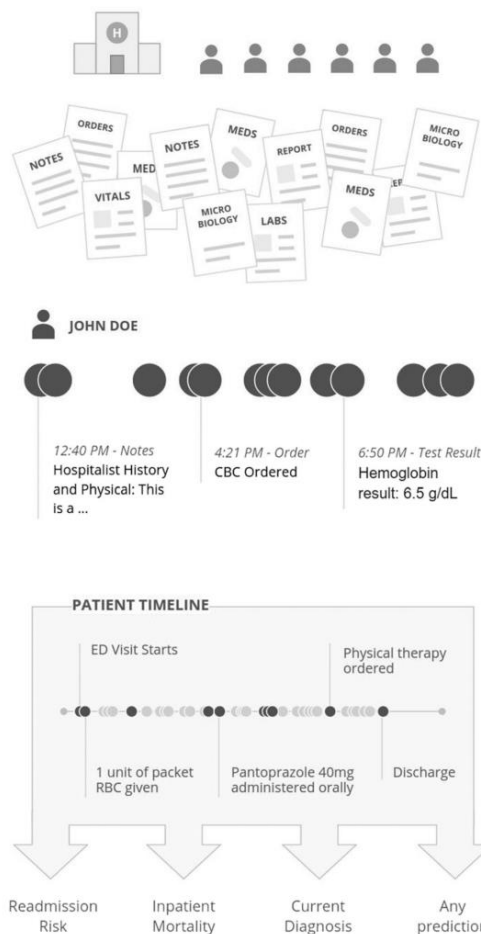
Scalable and accurate deep learning with electronic health records

Alvin Rajkomar^{1,2}, Eyal Oren¹, Kai Chen¹, Andrew M. Dai¹, Nissan Hajaj¹, Michaela Hardt¹, Peter J. Liu¹, Xiaobing Liu¹, Jake Marcus¹, Mimi Sun¹, Patrik Sundberg¹, Hector Yee¹, Kun Zhang¹, Yi Zhang¹, Gerardo Flores¹, Gavin E. Duggan¹, Jamie Irvine¹, Kurt Litsch¹, Alexander Mossin¹, Justin Tansuwan¹, De Wang¹, James Wexler¹, Jimbo Wilson¹, Dana Ludwig², Samuel Katherine Chou¹, Michael Pearson¹, Srinivasan Madabushi¹, Nigam H. Shah⁴, Atul J. Butte², Michael D. Howell¹, Greg S. Corrado¹ and Jeffrey Dean¹

216,221 patients,
46,864,534,945 data points
(tokens)

Predictive modeling with electronic health record (EHR) data is anticipated to drive personalized medicine and improve care quality. Constructing predictive statistical models typically requires extraction of curated predictor variables from EHR data, a labor-intensive process that discards the vast majority of information in each patient's record. We propose a representation of patients' entire raw EHR records based on the Fast Healthcare Interoperability Resources (FHIR) format. We demonstrate that deep learning methods using this representation are capable of accurately predicting multiple medical events from multiple medical centers without site-specific data harmonization. We validated our approach using de-identified EHR data from two US academic medical centers with 216,221 adult patients hospitalized for at least 24 h. In the sequential format we propose, this volume of EHR data unrolled into a total of 46,864,534,945 data points, including clinical notes. Deep learning models achieved high accuracy for tasks such as predicting: in-hospital mortality (area under the receiver operator curve [AUROC] across sites 0.93–0.94), 30-day unplanned readmission (AUROC 0.75–0.76), prolonged length of stay (AUROC 0.85–0.86), and all of a patient's final discharge diagnoses (frequency-weighted AUROC 0.90). These models outperformed traditional, clinically-used predictive models in all cases. We believe that this approach can be used to create accurate and scalable predictions for a variety of clinical scenarios. In a case study of a particular prediction, we demonstrate that neural networks can be used to identify relevant information from the patient's chart.

Application of deep learning



1

Health systems collect and store electronic health records in various formats in databases.

2

All available data for each patient is converted to events recorded in containers based on the Fast Healthcare Interoperability Resource (FHIR) specification.

3

The FHIR resources are placed in temporal order, depicting all events recorded in the EHR (i.e. timeline). The deep learning model uses this full history to make each prediction.

An ensemble of 3
“time-aware” deep neural
networks

Fig. 4 Data from each health system were mapped to an appropriate FHIR (Fast Healthcare Interoperability Resources) resource and placed in temporal order. This conversion did not harmonize or standardize the data from each health system other than map them to the appropriate resource. The deep learning model could use all data available prior to the point when the prediction was made. Therefore, each prediction, regardless of the task, used the same data

Integration of textual and non-textual data

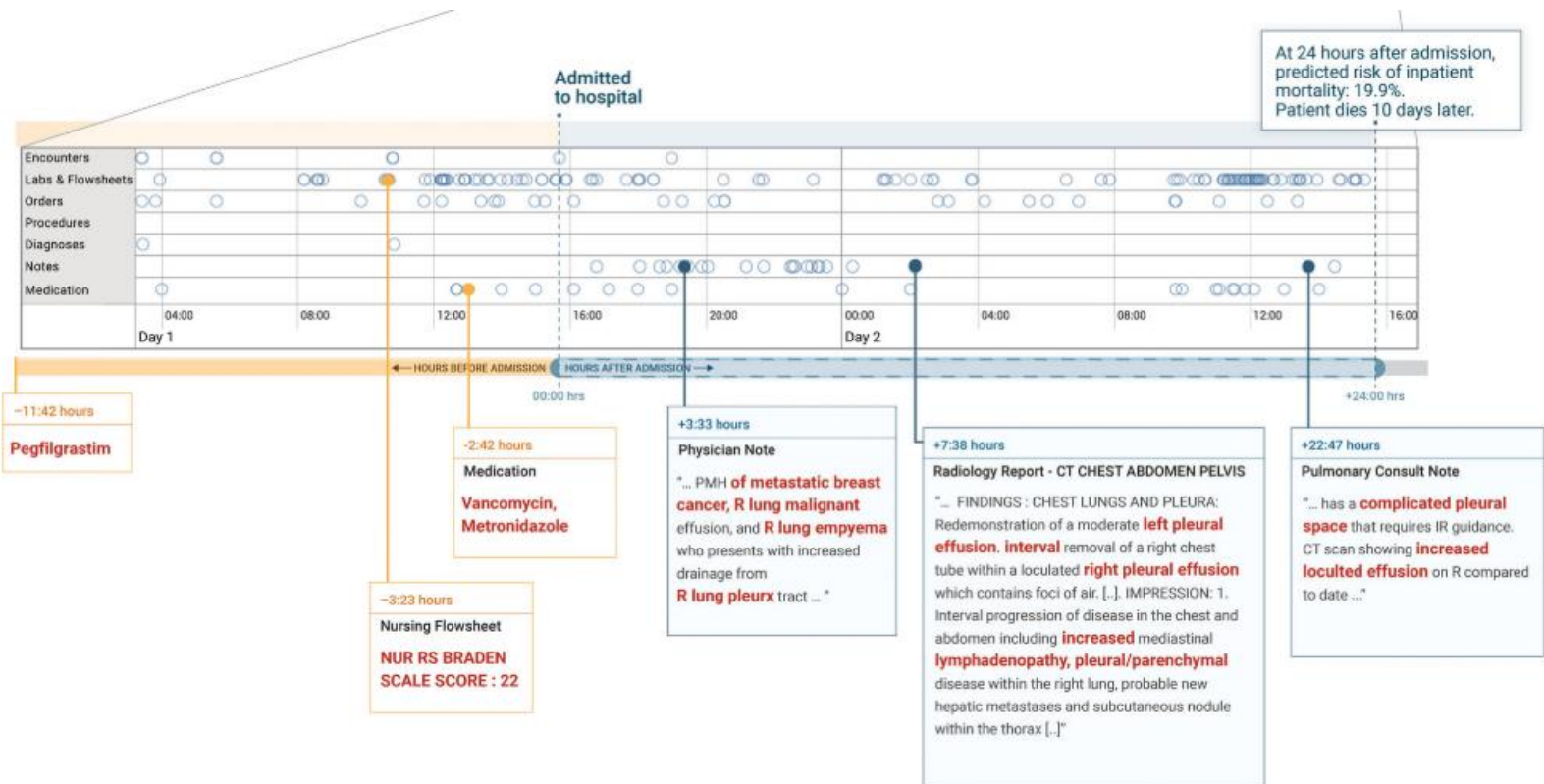


Fig. 3 The patient record shows a woman with metastatic breast cancer with malignant pleural effusions and empyema. The patient timeline at the top of the figure contains circles for every time-step for which at least a single token exists for the patient, and the horizontal lines show the data type. There is a close-up view of the most recent data points immediately preceding a prediction made 24 h after admission. We trained models for each data type and highlighted in red the tokens which the models attended to—the non-highlighted text was not attended to but is shown for context. The models pick up features in the medications, nursing flowsheets, and clinical notes relevant to the prediction

CDSS ARCHITECTURES AND EXAMPLES

CDSS architectures and history

Computer-based CDSS architectures

Stand-alone
(isolated)
systems

AAPHelp
(1972)

MYCIN
(1975)

DXplain
(1987)

Isabel
(2003)

Watson?
(2006)

Integrated
systems

HELP
(1975)

Service-oriented
systems

SEBASTIAN
(2005)

AAPHelp (1972 – 2004)

- System for abdominal pain diagnosis (7 possible diagnostic options, including appendicitis)
- Decision model employing a naïve Bayesian classifier, conditional probabilities established from historical data
- Very promising results in initial tests (309 cases)
 - Much higher diagnostic accuracy than for clinicians (91% ↔ 65-80%)
 - Less unnecessary surgeries for patients with appendicitis (6 ↔ 20)
- Results could not be confirmed in other settings/locations!
- Improvement of diagnostic accuracy associated with imposing of structured data collection (paper forms, batch processing)

MYCIN (1975)

- An expert system shell “loaded” with knowledge on diagnosing and treating bacterial infections
- A decision model employing rules defined by domain experts
- Advanced reasoning mechanism – backward reasoning (from hypotheses to evidence), chaining multiple rules
- Rules given in both machine and human-readable representations, ability to justify rule selection
- Confidence factors associated with rules and data to capture and consider possible uncertainty
- But it was never used in clinical practice!

Rule507

IF:

- 1) The infection that requires therapy is meningitis,
- 2) Organisms were not seen on the stain of the culture,
- 3) The type of infection is bacterial,
- 4) The patient does not have a head injury defect, and
- 5) The age of the patient is between 15 years and 55 years

THEN: The organisms that might be causing the infection are *diplococcus-pneumoniae* and *neisseria-meningitidis*

Sample session in MYCIN

Possibility for QA interactions with the system

In this series, how many of the cultures were positive of the e.coli (ORGANISM-1)? *(typical system prompt)*

**** WHY** *(request from user to determine motivation for question)*

[i.e. WHY is it important to determine the number of cultures which were positive for the organism?] *(system's rephrasing of the WHY question, and answer)*

[1.0] This will help to determine whether there is significant disease associated with this occurrence of the organism.
It has already been established that
[1.1] the culture was taken from a sterile source
[1.2] the number of cultures in the series is greater than 2
Therefore, if
[1.3] the number of cultures which were positive for ORGANISM-1 is greater than 2
Then there is suggestive evidence (.5) that there is significant disease associated with this occurrence of the e.coli (ORGANISM-1).
[RULE049]
**** WHY** *(repeated WHY to determine relevance of previous explanation)*

[i.e. WHY is it important to determine if there is significant disease associated with this occurrence of the organism?]

[2.0] This will help to determine a diagnosis for which the infection should be treated.
It has already been established that
[2.1] the infection is not one of: primary bacteremia, meningitis
[2.2] for some current culture of the patient it is true that the site of the culture is blood
Therefore, if
[2.3] there is significant disease associated with this occurrence of the organism
Then
It is definite (1.0) that the diagnosis for which the infection should be treated is secondary-bacterimia
[RULE103]

Confidence factors in MYCIN

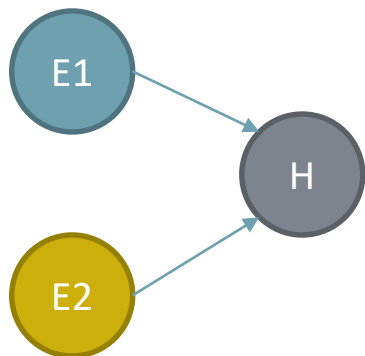
- **Confidence factor** – change in confidence in the hypothesis H after assuming evidence E – $CF(H, E) \in [-1, +1]$

- Confidence factors also for data collected by the user

$$CF(H) = CF(H, E) \cdot CF(E)$$

- Confidence factors for more complex (parallel and sequential) reasoning patterns

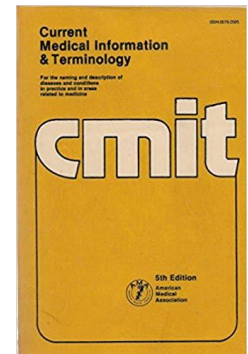
$$CF(H, E1 \wedge E2) = \begin{cases} CF(H, E1) + CF(H, E2) - CF(H, E1)CF(H, E2) & CF > 0 \\ CF(H, E1) + CF(H, E2) + CF(H, E1)CF(H, E2) & CF < 0 \\ \frac{CF(H, E1) + CF(H, E2)}{1 - \min\{|CF(H, E1)|, |CF(H, E2)|\}} & otherwise \end{cases}$$



$$CF(H, E1) = CF(H, E2) \cdot \max\{0, CF(E2, E1)\}$$

Dxplain[®] DXplain (1987 – now)

- System for supporting diagnostic decisions in various problems (2.4k diseases associated with 5k findings)
- Presents a list of possible diagnoses for a given patient, their descriptions and associated publications (e.g., from PubMed)
- Findings constitute a hierarchy (from least to most specific)
- Decision model takes into account *importance*, *frequency* (co-occurrence) and *evoking power* of reported findings
- Model parameters based on literature studies and expert knowledge (→ team of 13 researchers)
- System available for eligible users (medical students, physicians) as a web application and a service



Sample session in DXplain

DXplain®

Adult, Young (18 to 40 yrs) | Male | > 4 weeks

Finding Lookup

No weight loss

weight loss
weight loss, severe
weight loss, slight

DXplain® Disease Information | Search DXplain | Feedback | Help | Exit

Return to Case Analysis page | Evidence of Disease | Disease Differential ^{NEW!} | PubMed Search | Google Search

HODGKINS DISEASE

DEFINITION

A malignant disease characterized by progressive enlargement of the lymph nodes, spleen, and general lymphoid tissue, and the presence of large, usually multinucleate, cells (REED-STERNBERG CELLS) of unknown origin. MeSH, NLM 1999

ICD9 CODES [Details]

201, 201.1, 201.2, 201.9, 201.0

OTHER NAMES

Lymphogranulomatosis, malignant; Lymphadenoma, multiple; Sternberg disease.

ETIOLOGY

Unknown; most frequent of malignant lymphoma group of diseases; age incidence showing peaks at 25 and 70.

SYMPTOMS

USUALLY: chronic (> 4 weeks).
SOMETIMES: bone pain; night sweats; sweating increase; weight loss; weight loss, slight; insidious onset of symptoms; urinary tract obstruction; paraplegia; pruritus; anorexia; dysphagia; constipation; diarrhea; steatorrhea; abdominal pain; arthralgia; back pain; kidney disease; renal failure; neck pain; headache; malaise; motor disorder; paresthesia; sensory disorder; cough; dyspnea.
RARELY: alcohol intolerance; fecal incontinence; amenorrhea, secondary; amenorrhea, primary; incontinence; urinary bladder incontinence; extremity paralysis, lower; extremity muscle weakness, lower; muscular weakness; stridor; wheezing.
ADDITIONAL NOTES: Initial manifestations possibly related to ordinary respiratory infection; other infection about head, neck; pain, obstructive phenomena in areas affected; chills.
MAKE DIAGNOSIS LESS LIKELY: lymph node pain, regional; very brief (< 6 hours).

REFERENCES | PubMed Search

1. Early-stage Hodgkin's lymphoma. N Engl J Med. 2010 Aug 12;363(7):653-62.

Callout 1: As findings are selected, they appear in the Case Findings Window.

Callout 2: DXplain some or new ca

Callout 3: The Disease Differential feature displays a differential diagnosis for the selected disease, as shown on the next screen.

Callout 4: The Disease Description page lists the findings found in a particular disease in order of the frequency with which they occur.

Callout 5: The user can click on "Evidence of Disease" to toggle back to that page.

Callout 6: DXplain provides links to selected Medline references



ISABEL (2001 – now)

- System for supporting diagnostic decisions in various problems and adverse effects of drugs (11k diagnoses, 4k drugs)
- Expert knowledge comes from medical publications and textbooks → specialized indexing and search
- Presents a list of possible diagnoses with additional descriptions (excerpts from associated resources)
- Very promising results in preliminary tests (200 cases, accuracy between 91-95%)
- System available as web and mobile application and a service for medical users and for patients (simplified version)

Sample session in ISABEL

neonate (0-28d)
female

apnea episodes
cough

enter clinical features synonyms

age*

gender female male

Refine search:
travel history:

show me:
 diagnoses
 causative drugs
 bioterrorist agents

Enter clinical features, **no negatives**, **no numbers**: ⓘ

+ add a clinical feature

Isabel is not meant to replace your clinical judgment.

diagnoses drugs

Pertussis

Why did this diagnosis come up?

We matched the terms: apnea | cough | coughs

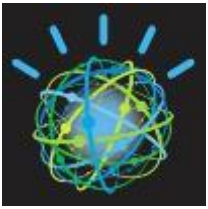
Degree of match between query entered and Isabel database (Not clinical probability): 100%

<input checked="" type="checkbox"/> Bronchiolitis	<input type="button" value="?"/>	RESP
<input type="checkbox"/> Viral Pneumonia	<input type="button" value="?"/>	RESP
<input type="checkbox"/> Influenza Viruses	<input type="button" value="?"/>	INFE
<input type="checkbox"/> Chiari Malformation	<input type="button" value="?"/>	NEURO
<input checked="" type="checkbox"/> Aspiration Syndromes	<input type="button" value="?"/>	RESP
<input checked="" type="checkbox"/> Neonatal Pneumonia	<input type="button" value="?"/>	RESP
<input type="checkbox"/> Adenoviral Infections	<input type="button" value="?"/>	INFE
<input type="checkbox"/> Bacterial Pneumonia	<input type="button" value="?"/>	RESP
<input checked="" type="checkbox"/> Aortic Arch Anomalies	<input type="button" value="?"/>	CARDIO

[view all](#)

We matched the terms: apnea | apneic | coughing | cough | coughs

Degree of match between query entered and Isabel database (Not clinical probability): 100%



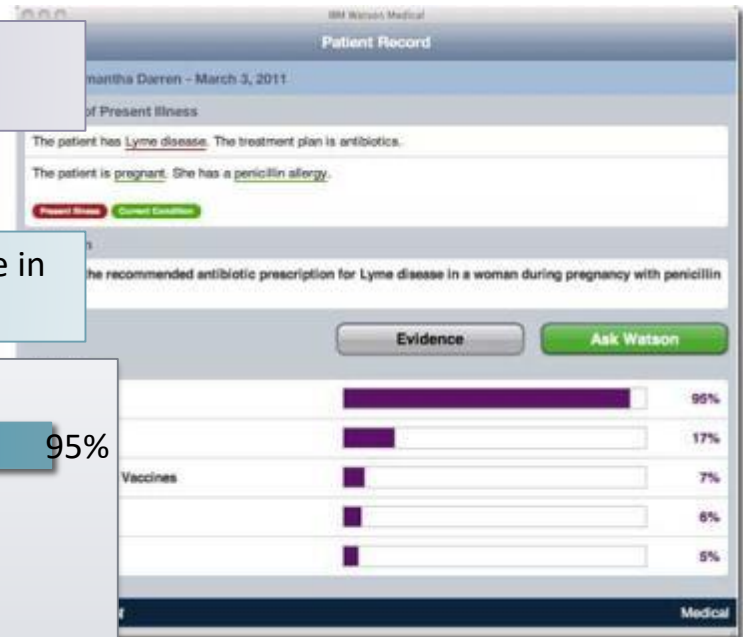
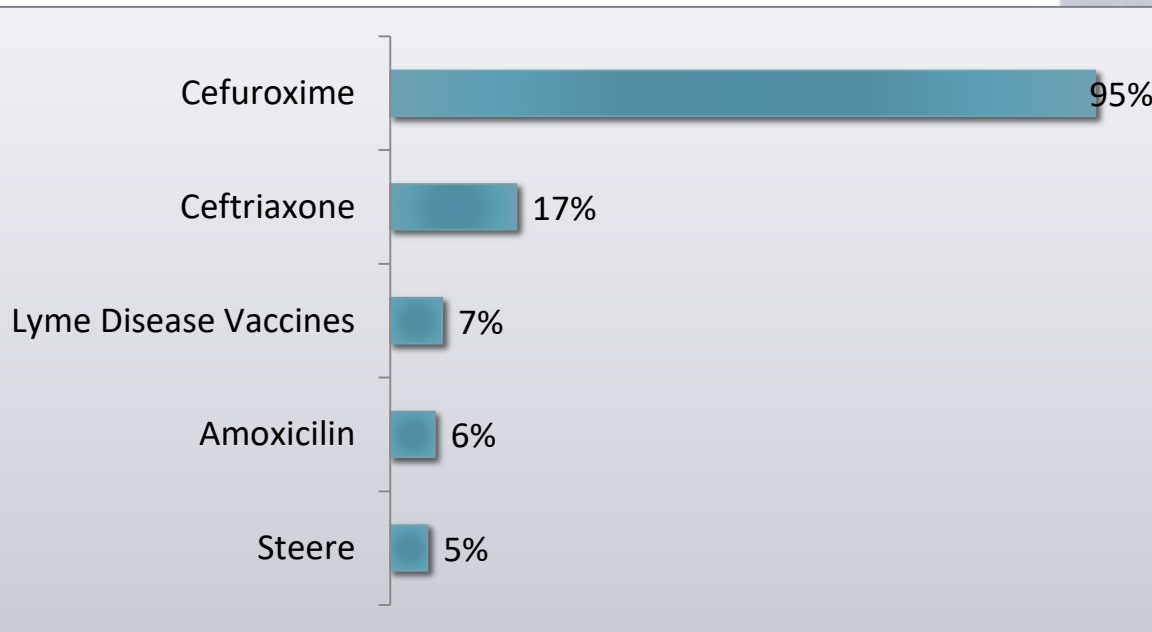
IBM Watson (2006 – now)

- Initially, general purpose system for answering questions in natural language → winner of Jeopardy (2011)
- Enthusiastic acceptance in American medical world (AMIA 2011: “return of AI to medicine...”)
- Based on Apache open-source projects (UIMA and Lucene) for processing of text resources
- Currently for analysis and visualization of poorly structured data (e.g., images, texts) using deep learning techniques → **cognitive computing**
- Special version for oncological problems → Watson for Oncology (searching for evidence, trials, treatment...)

Sample session in Watson

The patient has Lyme disease. The treatment plan is antibiotics.
The patient is pregnant. She has a penicillin allergy.

What is the recommended antibiotic prescription for Lyme disease in a woman during pregnancy with penicillin allergy?

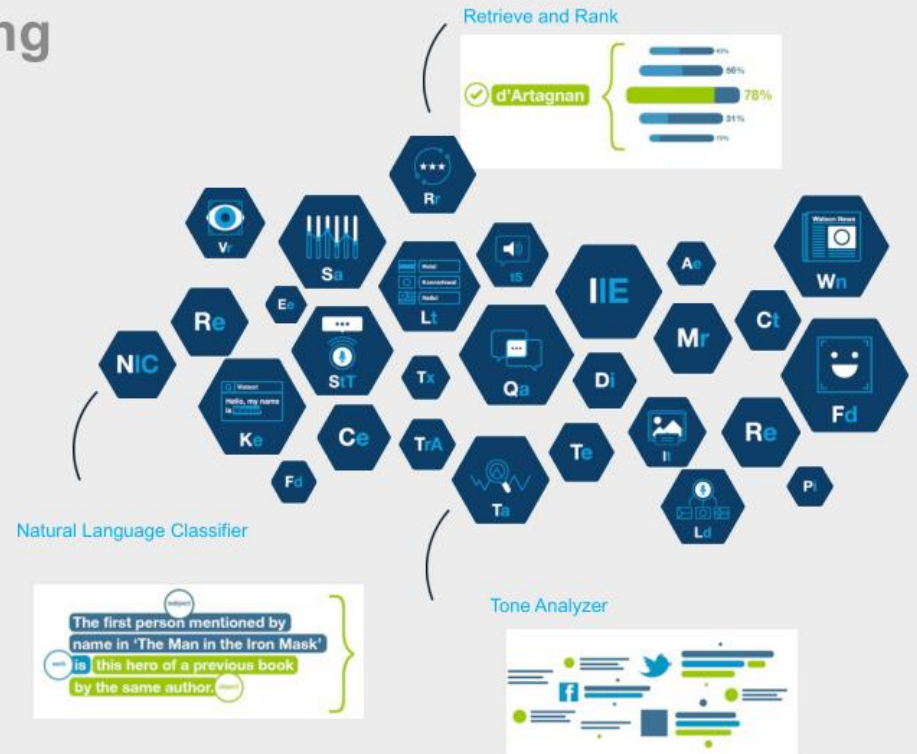


IBM Watson – services

Watson Platform Is Growing

Watson's APIs are the cognitive building blocks that harness our data.

Message Resonance	Entity Extraction
Face Detection	Tone Analyzer
Natural Language Classifier	Personality Insights
Speech to Text	Taxonomy
Text to Speech	Author Extraction
Language Translation	Concept Tagging
Language Detection	Relationship Extraction
Sentiment Analysis	Feed Detection
Retrieve and Rank	Keyword Extraction
Image Link Extraction	Visual Recognition
Tradeoff Analytics	Image Tagging
	Text Extraction



<https://www.ibm.com/watson/developercloud/services-catalog.html>
<https://conversation-demo.mybluemix.net/>
<https://personality-insights-livedemo.mybluemix.net/>
<https://www.ibm.com/watson/developercloud/visual-recognition.html>
<https://natural-language-understanding-demo.mybluemix.net/>



Symptomate (2013 – now)

- Polish (!) system for supporting diagnosis (→ symptom checker)
- Decision model based on Bayesian networks (10 models for major medical specialties)
- Additional expert rules to control the process of asking questions and collecting data
- Advanced algorithms for combining multiple Bayesian networks to simplify them and to ensure quick response time (1 sec.)
- System available as web and mobile application for non-medical users, also controlled through voice and chat-bot
- Diagnostic-oriented API available as a separate service

Sample session in Symptomate

Symptomate

Introduction
Patient
Symptoms
Regions
Interview
Results

Add your symptoms

Please use the search or click on the body model.

Search, e.g. headache

Sore throat × Headache

Symptomate

Introduction
Patient
Symptoms
Regions
Interview
Results

< Back

© 2019 In

Symptomate

Introduction
Patient
Symptoms
Regions
Interview
Results

Prompt medical
Consulting a medical professional

Results

Please note that the list below may not be a qualified medical opinion.

Click any row to see details.

- Common cold
Strong evidence
- Laryngitis
Moderate evidence
- Peritonsillar abscess
Moderate evidence

Common cold

Strong evidence

Hint:
If your symptoms get worse, see your family doctor.

Explanation:
Reasons for:

- ↑ Sore throat
- ↑ Headache
- ↑ Red throat
- ↑ Pain while swallowing
- ↑ Muscle pain
- ↑ Headache, recent
- ↑ Fever
- ↑ Fever greater than 100,4°F (38°C)
- ↑ Clearing the throat
- ↑ Itching of nose or throat

Reasons against:

- ↓ Abnormal or loss of taste

Description:
A cold is a mild viral infection of the nose, throat, sinuses and upper airways. It's very common and usually clears up on its own within a week or two.

The above excerpt is provided by NHS Choices. [Read the full description.](#)

< Back **Next**

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HELP (1975 – now)

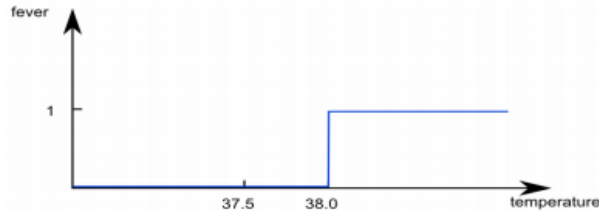
- Active decision support functionality implemented within HIS
- Monitoring rules represented as **medical logic modules** (MLMs) → 1 rule = 1 module
 - Rules triggered by specific events (e.g., availability of new data)
 - Diversified actions – checking for drug interactions and displaying warnings, performing calculations, preparing results
- MLMs represented using a specialized and standardized (HL7) language – Arden Syntax
- MLMs have been adopted in other systems → knowledge portability, but possible “{} problem” with data models

HELP

Sample MLM: warning about allergy to penicillin

```
penicillin_order :=  
    event {medication_order  
        where class = penicillin};  
  
/* find allergies */  
penicillin_allergy :=  
    read last {allergy  
        where agent_class = penicillin};  
  
;;  
  
evoke: penicillin_order ;;  
  
logic:  
  
If exist (penicillin_allergy) then conclude true;  
  
endif;  
  
;;  
  
action:  
  
write  
  
"Caution, the patient has the following allergy to penicillin documented:"  
  
|| penicillin_allergy ;;
```

Fuzzy rules in Arden Syntax

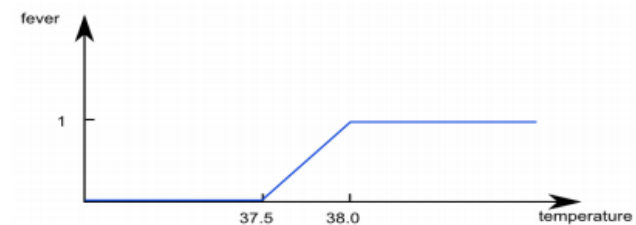


- **Usual Arden Syntax**

```
fever_limit := 38;  
temperature := 37.9;
```

```
message := "patient has no fever";  
IF temperature > fever_limit THEN  
    message := "patient has fever";  
END IF
```

- Result message: "patient has no fever"
- Borderline case is not detected



- **Fuzzy Arden Syntax**

```
fever_limit := FUZZY SET (37.5,0), (38,1);  
temperature := 37.9;
```

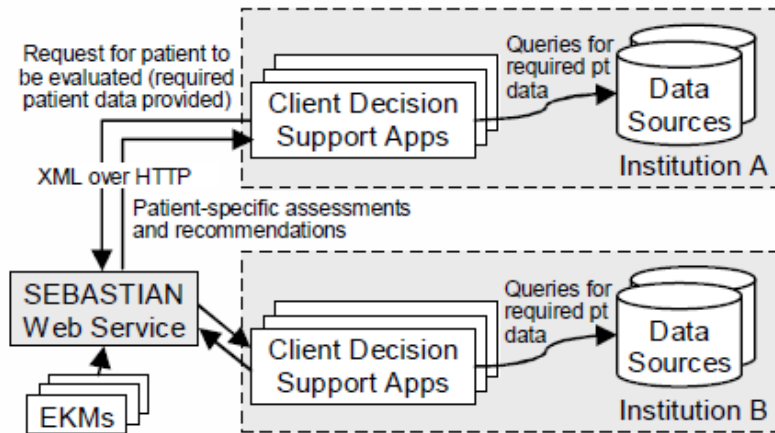
```
message := "patient has no fever";  
IF temperature > fever_limit THEN  
    message := "patient has fever";  
END IF
```

- Result message: "patient has fever" (with applicability 0.8)

SEBASTIAN (2005)

System for Evidence-Based Advice through Simultaneous Transaction with an Intelligent Agent across a Network

- Generic infrastructure based on web services allowing for constructing diversified CDSS



- Specific clinical knowledge embedded in **executable knowledge modules (EKMs)**
- Reliance on standards (HL7 RIM, UMLS)

- Continued as OpenCDS – an open implementation of the proposed infrastructure

OpenCDS (2011 – now)

OpenCDS

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OpenCDS is a multi-institutional, collaborative effort to develop open-source, standards-based clinical decision support (CDS) tools and resources that can be widely adopted to enable CDS at scale. OpenCDS is licensed under the Apache 2 license.

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Who is Involved?

OpenCDS was founded by Dr. Kensaku Kawamoto, MD, PhD, MHS who is a faculty member at the University of Utah Department of Biomedical Informatics and a co-chair of the HL7 CDS Work Group. Please see the [Featured Collaborators](#) page for more information on the members of the OpenCDS community.

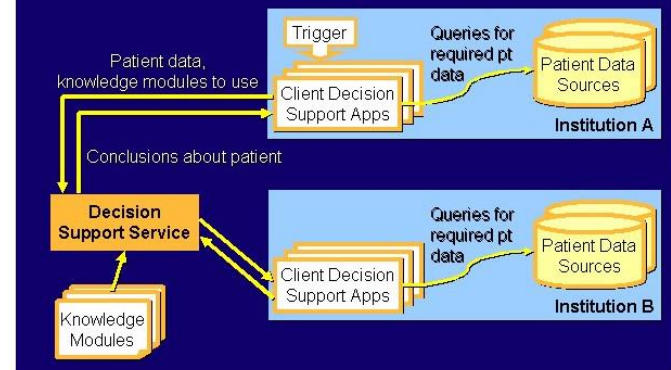
How Can I Learn More?

Please [Register to be a Collaborator](#) (gives access to Wiki and software releases)

or

Contact Dr. Kensaku Kawamoto, MD, PhD, MHS [[Contact Us](#)]

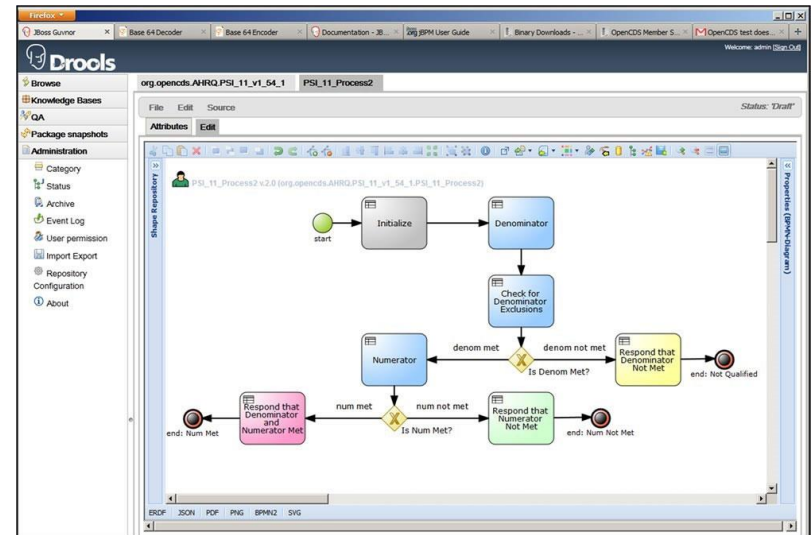
OpenCDS DSS – Architectural Overview



<http://www.opencds.org>

OpenCDS

- Relies on adopted standards tools
 - HL7/OMG DSS interface
 - HL7 vMR (*virtual medical record*) and FHIR
- Includes open tools to build specific EKM's
 - JBoss Drools – rule-based reasoning (forward, backward)
 - JBoss jBPM – workflow (BPMN) modeling and execution
 - Appleton DTS – terminology server



HL7/OMG DSS interface

Web-based (SOAP) standard for decision support services

1. Metadata discovery (`listProfiles`, `describeProfile`, ...)
 - Checking for available profiles and their descriptions
 - Profile determines what methods are available at lower levels
2. Query (`listKMs`, `findKMs`, `getKMDataRequirements`, ...)
 - Checking for available EKMs and searching for specific EKMs
 - Checking input and output requirements of specific EKMs
3. Evaluation (`evaluate`, `evaluateInteractively`, ...)
 - Applying a specific EKM to a given patient (establishing a suggestion)
 - May result final or intermediate results (iterative evaluation) with subsequent data requirements

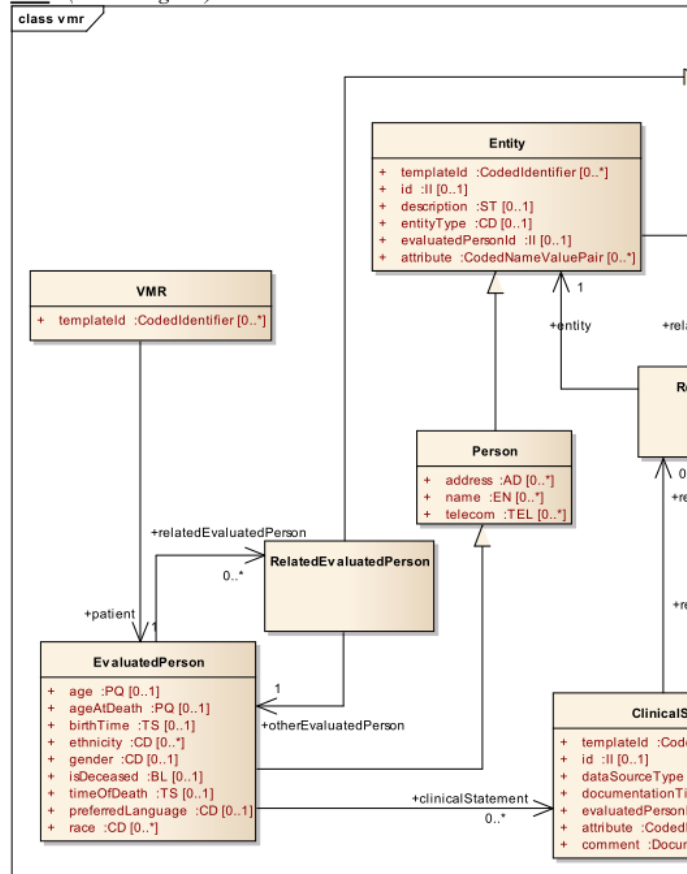
HL7 Virtual Medical Record (vMR)

- Data model limited to elements relevant for clinical decision making and support (unifying view on data)
- Demographic and clinical data, decision suggestions
- Based on HL7 V3, with many simplifications
 - No structural attributes (mood code, negation) → specialized classes introduced instead (AppointmentRequest, MissedAppointment)
 - No nested structures, e.g., a problem and embedded observations confirming its appearance → flat data structures instead
 - No more nullFlavor – missing data are not recorded

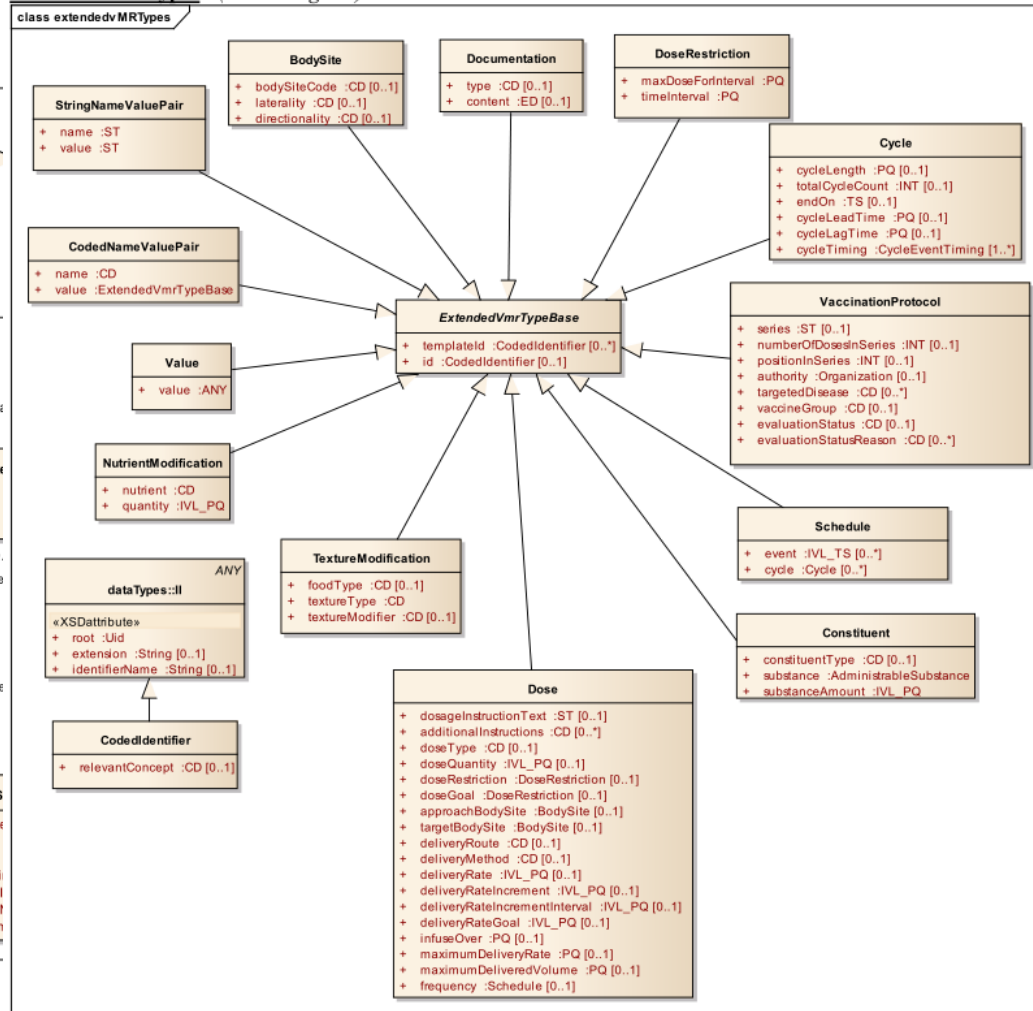
HL7 vMR – selected class diagrams



vmr - (Class diagram)



extendedvMRTypes - (Class diagram)



PERSPECTIVES AND CHALLENGES FOR CDSS

Perspectives and challenges

- **Improve the human-computer interface**
- Use text data to drive decision support
- Summarize patient level information
- **Combine recommendations for patients with comorbidities**
- Mine large clinical databases to create new CDSSs
- Create an architecture for sharing executable CDSS modules and services
- Create internet-accessible clinical decision support repositories (this calls for standards for knowledge and data representation)

„A bit awkward to use at the bedside b/c I don't care to have my nose in the computer as I'm trying to interact with patients (for the same reason I don't take full notes during interaction; I write afterwards at the nursing station).