# Finding similar items I

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### **Review of the previous lectures**

- Processing of massive datasets
- Evolution of database systems
- OLTP and OLAP systems
- ETL
- Dimensional modeling
- Data processing
- MapReduce in Spark
- Approximate query processing

# Outline

- 1 Motivation
- 2 Shingling of Documents
- 3 Similarity-Preserving Summaries of Sets
- 4 Summary

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• Find similar elements to the query element.

### Applications of nearest neighbor search

### • Similarity of documents

- Plagiarism
- Mirror pages
- Articles from the same source
- Machine learning
  - k-nearest neighbors
  - Collaborative filtering
- Computational geometry
- Computer vision
- Geographic Information Systems (GIS)

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- Can we do better?
- Data structures for exact search: not robust to curse of dimensionality
- Approximate algorithms

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- Storing large number of sets and computing their similarity in naive way is not sufficient.
- We compress sets in a way that enables to deduce the similarity of the underlying sets from their compressed versions.

### Jaccard similarity

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• Example: Let  $S = \{a, b, c, d\}$  and  $T = \{c, d, e, f\}$ , then

SIM(S,T) = 2/6.

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- k should be picked large enough that the probability of any given shingle appearing in any given document is low.
- **Example**: Let us check two words *document* and *monument*:

$$\begin{split} SIM(\{d,o,c,u,m,e,n,t\},\{m,o,n,u,m,e,n,t\}) &= 6/8\\ SIM(\{doc,ocu,cum,ume,men,ent\},\\ \{mon,onu,num,ume,men,ent\}) &= 3/9 \end{split}$$

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- Since typical email is much smaller than 14 million characters long, this can be right value.
- Since distribution of characters is not uniform, the above estimate should be corrected, for example, by assuming that there are only 20 characters.

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  - ► Each 9-shingle from a document can be mapped to a bucket number in the range from 0 to  $2^{32} 1$ .
  - Instead of nine we use then four bytes and can manipulate (hashed) shingles by single-word machine operations.

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  - $\blacktriangleright$  if we use 9-shingles, there are many more than  $2^{32}$  likely shingles.
  - ► When we hash them down to four bytes, we can expect almost any sequence of four bytes to be possible.

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- The signatures, however, should preserve (at least to some extent) the similarity between sets.

#### • Characteristic matrix

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- ► The rows correspond to elements of the universal set from which elements of the sets are drawn.
- ► There is a 1 in row r and column c if the element for row r is a member of the set for column c.
- Otherwise the value in position (r, c) is 0.

- Example:
  - Let the universal set be  $\{a, b, c, d, e\}$ .
  - Let  $S_1 = \{a, d\}$ ,  $S_2 = \{c\}$ ,  $S_3 = \{b, d, e\}$ ,  $S_4 = \{a, c, d\}$ .

Element	$S_1$	$S_2$	$S_3$	$S_4$
а	1	0	0	1
b	0	0	1	0
С	0	1	0	1
d	1	0	1	1
е	0	0	1	0

• It is important to remember that the characteristic matrix is unlikely to be the way the data is stored, but it is useful as a way to visualize the data!

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- The index of the first row is 0 in the following.

### • Example:

► Let us pick the order of rows *beadc* for the matrix from the previous example.

Element	$S_1$	$S_2$	$S_3$	$S_4$
b	0	0	1	0
е	0	0	1	0
а	1	0	0	1
d	1	0	1	1
с	0	1	0	1

- ► In this matrix, we can read off the values of minhash (mh) by scanning from the top until we come to a 1.
- ▶ Thus, we see that  $mh(S_1) = 2$  (a),  $mh(S_2) = 4$  (c),  $mh(S_3) = 0$  (b), and  $mh(S_4) = 2$  (a).

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  - The probability that the minhash function for a random permutation of rows produces the same value for two sets equals the Jaccard similarity of those sets.

#### Minhashing and Jaccard similarity

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$$P(mh(S) = mh(T)) = \frac{x}{x+y}$$

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  - ► Thus, we can form from matrix *M* a **signature matrix**, in which the *i*-th column of *M* is replaced by the minhash signature for (the set of) the *i*-th column.
- The signature matrix has the same number of columns as  $M,\,{\rm but}$  only n rows!
- Even if M is not represented explicitly (but as a sparse matrix by the location of its ones), it is normal for the signature matrix to be much smaller than M.

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- Even picking a random permutation of millions or billions of rows is time-consuming.
- Fortunately, it is possible to simulate the effect of a random permutation by a **random hash function** that maps row numbers to as many buckets as there are rows.

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- This difference is unimportant as long as k is large and there are not too many collisions.
- We can maintain the fiction that our hash function h permutes row r to position h(r) in the permuted order.

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- We construct the signature matrix by considering each row in their given order.
- Let SIG(i,c) be the element of the signature matrix for the  $i\mbox{-th}$  hash function and column c defined by

 $SIG(i, c) = \min\{h_i(r) : \text{for such } r \text{ that } c \text{ has } 1 \text{ in row } r\}$ 

#### • Example:

• Let us consider two hash functions  $h_1$  and  $h_2$ :

$$h_1(r) = r + 1 \mod 5$$
  $h_2(r) = 3r + 1 \mod 5$ 

Row					$h_1(r)$	$h_2(r)$
0	1	0	0	1		
1	0	0	1	0		
2	0	0 0 1	0	1		
3	1	0 0	1	1		
4	0	0	1	0		

#### • Example:

• Let us consider two hash functions  $h_1$  and  $h_2$ :

$$h_1(r) = r + 1 \mod 5$$
  $h_2(r) = 3r + 1 \mod 5$ 

Row	$ S_1 $	$S_2$	$S_3$	$S_4$	$h_1(r)$	$h_2(r)$
0	1	0	0	1	1	1
1	0	0	1	0	2	4
2	0	1	0	1	3	2
3	1	0	1	1	4	0
4	0	0	1	0	1 2 3 4 0	3

- Example:
  - The signature matrix is:

$$\begin{array}{c|cccc} & S_1 & S_2 & S_3 & S_4 \\ \hline SIG(1,c) & \\ SIG(2,c) & \end{array}$$

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while the true similarities are:

$$SIM(S_1, S_2) = 0$$
  $SIM(S_1, S_3) = 1/4$   $SIM(S_1, S_4) = 2/3$ 

# Outline

- 1 Motivation
- 2 Shingling of Documents
- 3 Similarity-Preserving Summaries of Sets
- 4 Summary

## Summary

- Similarity of documents.
- Jaccard similarity.
- Minhash technique.

# **Bibliography**

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