# Dataset with decision examples concerning ordinal classification

Student	Mathematics	Physics	Literature	Philosophy	Overall_Eval.
<b>S</b> 1	good	medium	bad	medium	bad
<u>52</u>	medium	medium	bad	bad	medium
<u>S</u> 3	medium	medium	medium	bad	medium
<b>S</b> 4	good	good	medium	medium	medium
<i>S</i> 5	good	good	medium	medium	good
<b>S</b> 6	good	medium	good	good	good
<b>S</b> 7	good	good	good	medium	good
<b>S</b> 8	bad	bad	bad	bad	bad
<b>S</b> 9	bad	bad	medium	bad	bad
<b>S10</b>	good	medium	medium	bad	medium



# Dataset with decision examples concerning classification

Student	Mathematics	Physics	Literature	Philosophy	Overall_Eval.
<b>51</b>	good	medium	bad	medium	bad
<mark>52</mark>	medium 🕈	medium 🕇	bad	bad	medium
<b>S</b> 3	medium	medium	medium	bad	medium
<b>S</b> 4	good 🛉	good 🛉	medium	medium	medium
<i>S</i> 5	good	good 🕈	medium	medium	good
<b>S</b> 6	good	medium	good	good	good
<b>S</b> 7	good	good	good	medium	good
<b>S</b> 8	bad	bad	bad	bad	bad
<b>S</b> 9	bad	bad	medium	bad	bad
<b>S10</b>	good	medium	medium	bad	medium

#### Decision tree



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If Lit  $\succeq$  good, then student  $\succeq$  good *{S6,S7}* If Phys  $\succeq$  medium & Lit  $\succeq$  medium, then student  $\succeq$  medium {**S3**,**S4**,**S5**,**S6**,**S7**,**S10**} If Phys  $\succ$  good & Lit  $\prec$  medium, then student is medium or good {<mark>\$4,\$</mark>5} If Math  $\geq$  medium & Lit  $\leq$  bad, then student is bad or medium  $\{\underline{S1},\underline{S2}\}$ If Lit  $\leq$  bad, then student  $\leq$  medium *{S*1*,S*2*,S*8*}* If Philo  $\leq$  bad, then student  $\leq$  medium *{S*2*,S*3*,S*8*,S*9*,S*10*}* If Phys  $\leq$  bad, then student  $\leq$  bad *{S8,S9}* 

# Zdzisław Pawlak (1926 – 2006)

Student	Mathematics	Physics	Literature	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S</b> 2	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

One wants to characterize sets of objects from a universe (classes, concepts)

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature ( <b>L</b> )	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
S6	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

One wants to characterize sets of objects from a universe (classes, concepts)

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S</b> 6	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S8</b>	bad	bad	medium	bad

One wants to characterize sets of objects from a universe (classes, concepts)

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
S1	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S</b> 6	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
S1	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
S1	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
S1	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
<b>S</b> 6	good	good	good	good
<b>S7</b>	bad	bad	medium	bad
<b>S8</b>	bad	bad	medium	bad

• The granules of indiscernible objects are used to approximate classes

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature ( <b>L</b> )	Overall class
<b>S1</b>	good	medium	bad	bad
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
S5	good	medium	good	good
S6	good	good	good	good
<b>S</b> 7	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Lower approximation of class "good"

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad
S2	medium	medium	bad	medium
S3	medium	medium	medium	medium
<b>S</b> 4	medium	medium	medium	good
<b>S</b> 5	good	medium	good	good
<b>S6</b>	good	good	good	good
S7	bad	bad	medium	bad
<b>S</b> 8	bad	bad	medium	bad

Lower Approximation

Lower and upper approximation of class "good"



# CRSA – decision rules induced from rough approximations

 Certain decision rule supported by objects from <u>lower approximation</u> of class "good" (discriminant rule)

If Lit=good, then Student is certainly good {S5,S6}

 Possible decision rule supported by objects from <u>upper approximation</u> of class "good" (partly discriminant rule)

*If* Phys=medium & Lit=medium, *then* Student is possibly good {S3,S4}

 Approximate decision rule supported by objects from the <u>boundary</u> of class <u>"medium"</u> or "good"

If Phys=medium & Lit=medium, then Student is medium or good {S3,S4}

# Classical Rough Set Approach (CRSA)

- Let U be a finite universe of discourse composed of objects (e.g. set A) described by a finite set of attributes (or criteria)
- Sets of objects indiscernible w.r.t. attributes create granules of knowledge (elementary sets)
- Any subset  $X \subseteq U$  may be expressed in terms of these granules:
  - either precisely as a union of the granules
  - or roughly by two ordinary sets, called *lower* and *upper* approximations
- The lower approximation of X consists of all the granules included in X (interior of X)
- The upper approximation of X consists of all the granules having non-empty intersection with X (closure of X)

# Classical Rough Set Approach has to be adapted to ordinal data

Classical rough set approach does not properly handle decision examples

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature ( <b>L</b> )	Overall class
S1	good	medium	bad	bad
<b>S2</b>	medium 🔸	medium 🔸	bad	medium
<b>S</b> 3	medium	medium	medium	medium
S4	medium	medium	medium	good
S5	good	medium	good	good
S6	good	good	good	good
<b>S7</b>	bad	bad	bad	bad
<b>S</b> 8	bad	bad	medium	bad

## Classical Rough Set Approach has to be adapted to ordinal data

Inconsistency w.r.t. dominance principle (Pareto principle)



# Dominance-based Rough Set Approach (DRSA)

Classical Rough Set Theory vs. Dominance-based Rough Set Theory

# Classical Rough Set Theory ↓

Indiscernibility principle

If x and y are indiscernible with respect to all relevant **attributes**,

then x should classified to the same class as y

# **Dominace-based Rough Set Theory**

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#### Dominance principle

If x is at least as good as y with respect to all relevant criteria,

then x should be classified at least as good as y

S.Greco, B.Matarazzo, R.Słowiński: Rough sets theory for multicriteria decision analysis. *European J. of Operational Research*, 129 (2001) no.1, 1-47

# Dominance principle as monotonicity principle

Interpretation of the dominance principle

<u>The better</u> the evaluation of *x* with respect to considered criteria,

the better its comprehensive evaluation

- Many other relationships of this type, e.g.:
  - The faster the car, the more expensive it is
  - The higher the inflation, the higher the interest rate
  - The larger the mass and the smaller the distance, the larger the gravity
  - The colder the weather, the greater the energy consumption
- The Dominance-based Rough Set Approach does not only permit representation and analysis of decision problems but, more generally, representation and analysis of <u>all phenomena involving monotonicity</u>

# Dominance principle as monotonicity principle

#### Driving hypothesis:

 Relationship between different aspects of a phenomenon described by data can be represented by monotonicity relationship between specific measures or perceptions, e.g.

"the more a tomato is red, the more it is ripe"

*"the more similar are the causes," the more similar are the effects one can expect"* 

R.Słowiński, S.Greco, B.Matarazzo: Rough set based decision support. Chapter 16 [in]: E.K.Burke and G.Kendall (eds.), *Search Methodologies: Introductory Tutorials in Optimization and Decision Support Techniques*, Springer-Verlag, New York, 2005, pp. 475-527

# Dominance-based Rough Set Approach (DRSA)

- Finite sets of condition (C) and decision (D) criteria are monotonically dependent
- $\succeq_q$  weak preference relation (outranking) on *U* w.r.t. criterion  $q \in \{C \cup D\}$  (complete preorder)
- $x_q \succeq_q y_q$  :  $x_q$  is at least as good as  $y_q$  on criterion  $q^{"}$
- $xD_Py$  : x dominates y with respect to set of criteria  $P \subseteq C$  in condition space  $X_P = \prod_{q=1}^{|P|} V_q$  if  $x_q \succeq_q y_q$  for all criteria  $q \in P$
- $D_P = \bigcap_{q \in P} \succeq_q$  is a partial preorder
- Analogically, we define  $xD_Ry$  in decision space  $X_R = \prod_{q=1}^{|R|} V_q$ ,  $R \subseteq D$

### Dominance-based Rough Set Approach (DRSA)

- For simplicity :  $D = \{d\}$
- *d* makes a partition of *U* into decision classes  $CI = \{CI_t, t=1,...,m\}$

• 
$$[x \in Cl_r, y \in Cl_s, r > s] \Rightarrow x \succ y$$
  $(x \succeq y \text{ and } not y \succeq x)$ 

In order to handle monotonic dependency between condition and decision criteria:

$$Cl_t^{\geq} = \bigcup_{s \geq t} Cl_s - \text{upward union of classes, } t=2,...,m$$
 (*"at least"* class  $Cl_t$ )

 $Cl_t^{\leq} = \bigcup_{s \leq t} Cl_s - \text{downward union of classes}, t=1,...,m-1 (,,at most'' class <math>Cl_t$ )

•  $Cl_t^{\geq}$  and  $Cl_t^{\leq}$  are positive and negative dominance cones in  $X_D$ , with D reduced to single dimension d

# Granular computing with dominance cones

• Granules of knowledge are dominance cones in condition space  $X_P$  ( $P \subseteq C$ )

 $D_P^+(x) = \{y \in U: y D_P x\} : P-dominating set$ 

 $D_p(x) = \{y \in U: x D_p y\}: P$ -dominated set

- *P*-dominating and *P*-dominated sets are positive and negative dominance cones in X<sub>P</sub>
- Classification patterns (preference model) to be discovered are functions representing granules  $Cl_t^{\geq}$ ,  $Cl_t^{\leq}$ , by granules  $D_p^+(x)$ ,  $D_p^-(x)$

### Dominance-based Rough Set Approach vs. Classical RSA



• Example of preference information about students:

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad 🛉
<b>S</b> 2	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	good	good	medium	good
<b>S</b> 5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	bad	bad
<b>S</b> 8	bad	bad	medium	bad

Examples of classification of S1 and S2 are inconsistent

S.Greco, B.Matarazzo, R.Słowiński: Decision rule approach. Chapter 13 [in]: J.Figueira, S.Greco and M.Ehrgott (eds.), *Multiple Criteria Decision Analysis: State of the Art Surveys*, Springer-Verlag, New York, 2005, pp. 507-562

• If we eliminate Literature, then more inconsistencies appear:

Student	Mathematics ( <b>M</b> )	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good	medium	bad	bad 🛉
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	good	good	medium	good
<b>S</b> 5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	bad	bad
<b>S</b> 8	bad	bad	medium	bad

Examples of classification of S1, S2, S3 and S5 are inconsistent

Elimination of Mathematics does not increase inconsistencies:

Student	Mathematics (M)	Physics ( <b>Ph</b> )	Literature (L)	Overall class
<b>S1</b>	good///	medium	bad	bad 🛉
<b>S2</b>	medium	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	good	good	medium	good
<b>S</b> 5	goad	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	bad	bad
<b>S</b> 8	bad	bad	medium	bad

Subset of criteria {Ph,L} is a reduct of {M,Ph,L}

Elimination of Physics also does not increase inconsistencies:

Student	Mathematics ( <b>M</b> )	Physics (Ph)	Literature ( <b>L</b> )	Overall class
<b>S1</b>	good	medium	bad	bad 🛉
<b>S</b> 2	medium 🕴	medium	bad	medium
<b>S</b> 3	medium	medium	medium	medium
<b>S</b> 4	good	good	medium	good
<b>S</b> 5	good	medium	good	good
<b>S6</b>	good	good	good	good
<b>S7</b>	bad	bad	bad	bad
<b>S</b> 8	bad	bad	medium	bad

- Subset of criteria {M,L} is a reduct of {M,Ph,L}
- Intersection of reducts {M,L} and {Ph,L} gives the core {L}

• Let us represent the students in condition space {M,L} :











Lower approximation of <u>at least medium students</u>:







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Lower approximation of <u>at least</u> good students:



Upper approximation of <u>at least</u> good students:



Lower approximation of <u>at most medium students</u>:



Upper approximation of at most medium students:







Upper approximation of at most bad students:





## DRSA – properties

Basic properies of rough approximations

 $\underline{P}(CI_t^{\geq}) \subseteq CI_t^{\geq} \subseteq \overline{P}(CI_t^{\geq}) \qquad \underline{P}(CI_t^{\leq}) \subseteq CI_t^{\leq} \subseteq \overline{P}(CI_t^{\leq})$  $\underline{P}(CI_t^{\geq}) = U - \overline{P}(CI_{t-1}^{\leq}), \text{ for } t=2,...,m$ 

- Identity of boundaries  $Bn_P(CI_t^{\geq}) = Bn_P(CI_{t-1}^{\leq})$ , for t=2,...,m
- Quality of approximation of classification  $CI = \{CI_t, t=1,...m\}$  by set  $P \subseteq C$

$$\gamma_{P}(\mathbf{CI}) = \frac{\left| U - \bigcup_{t \in \{2, \dots, m\}} Bn_{P}(CI_{t}^{\geq}) \right|}{\left| U \right|}$$

■ *CI*-reducts and *CI*-core of *P*⊆*C* 

$$CORE_{CI}(P) = \bigcap RED_{CI}(P)$$

# DRSA – induction of decision rules from rough approximations

- Induction of decision rules from rough approximations
  - certain D<sub>≥</sub>-decision rules, supported by objects ∈ Cl<sup>≥</sup> without ambiguity:

if 
$$x_{q1} \succeq q_1 r_{q1}$$
 and  $x_{q2} \succeq q_2 r_{q2}$  and ...  $x_{qp} \succeq q_p r_{qp}$ , then  $x \in Cl_t^{\geq}$ 

*possible* D<sub>≥</sub>-*decision rules*, supported by objects ∈ Cl<sup>≥</sup><sub>t</sub> with or without any ambiguity:

if 
$$x_{q1} \succeq q_1 r_{q1}$$
 and  $x_{q2} \succeq q_2 r_{q2}$  and ...  $x_{qp} \succeq q_p r_{qp}$ , then  $x$  possibly  $\in Cl_t^{\geq}$ 

# DRSA – induction of decision rules from rough approximations

- Induction of decision rules from rough approximations
  - *certain*  $D_{\leq}$ -*decision rules*, supported by objects  $\in Cl_t^{\leq}$  without ambiguity:

if 
$$x_{q1} \leq q_1 r_{q1}$$
 and  $x_{q2} \leq q_2 r_{q2}$  and ...  $x_{qp} \leq q_p r_{qp}$ , then  $x \in Cl_t^{\leq}$ 

*possible* D<sub>≤</sub>-*decision rules*, supported by objects ∈ Cl<sup>≤</sup><sub>t</sub> with or without any ambiguity:

if  $x_{q1} \leq q_1 r_{q1}$  and  $x_{q2} \leq q_2 r_{q2}$  and ...  $x_{qp} \leq q_p r_{qp}$ , then x possibly  $\in Cl_t^{\leq}$ 

■ approximate  $D_{\geq\leq}$ -decision rules, supported by objects  $\in Cl_s \cup Cl_{s+1} \cup ... \cup Cl_t$  without possibility of discerning to which class:

*if*  $x_{q1} \succeq_{q1} r_{q1}$  and  $\dots x_{qk} \succeq_{qk} r_{qk}$  and  $x_{qk+1} \preceq_{qk+1} r_{qk+1}$  and  $\dots x_{qp} \preceq_{qp} r_{qp}$ , then  $x \in Cl_s \cup Cl_{s+1} \cup \dots \cup Cl_t$ .













Set of decision rules in terms of {M, L} representing preferences:

If  $M \geq \text{good} \& L \geq \text{medium}$ , then student  $\geq \text{good} \{ S4, S5, S6 \}$ 

If M  $\succeq$  medium & L  $\succeq$  medium, then student  $\succeq$  medium {S3,S4,S5,S6}

If M  $\geq$  medium & L  $\leq$  bad, then student is bad or medium {S1,S2}

If  $M \leq medium$ , then student  $\leq medium$  {S2,S3,S7,S8}

If L  $\leq$  bad, then student  $\leq$  medium {S1,S2,S7}

If  $M \leq bad$ , then student  $\leq bad$  {S7,S8}

Set of decision rules in terms of {M,Ph,L} representing preferences:

If  $M \geq \text{good} \& L \geq \text{medium}$ , then student  $\geq \text{good}$  {S4,S5,S6}

If  $M \succeq medium \& L \succeq medium$ , then student  $\succeq medium$  {S3,S4,S5,S6}

If  $M \succeq medium \& L \preceq bad$ , then student is bad or medium {S1,S2}

If Ph  $\leq$  medium & L  $\leq$  medium then student  $\leq$  medium  $\{S1, S2, S3, S7, S8\}$ 

If M  $\leq$  bad, then student  $\leq$  bad

{**S7,S8**}

The preference model involving all three criteria is more concise